

# ID2020

## ALLIANCE MANIFESTO

In September of 2018, ID2020 Alliance Partners, working in partnership with the United Nations High Commissioner for Refugees (UNHCR), drafted a formal articulation of our perspective on ethical approaches to digital identity. The landmark ID2020 Alliance Manifesto ([www.id2020.org/manifesto](http://www.id2020.org/manifesto)) lays out these shared principles and forms a starting point to guide the future of digital identity globally.



1. The ability to prove one's identity is a fundamental and universal human right.
2. We live in a digital era. Individuals need a trusted, verifiable way to prove who they are, both in the physical world and online.
3. Over one billion people worldwide are unable to prove their identity through any recognized means. As such, they are without the protection of law, and are unable to access basic services, participate as a citizen or voter, or transact in the modern economy. Most of those affected are children and adolescents, and many are refugees, forcibly displaced, or stateless persons.
4. For some, including refugees, the stateless, and other marginalized groups, reliance on national identification systems isn't possible. This may be due to exclusion, inaccessibility, or risk, or because the credentials they do hold are not broadly recognized. While we support efforts to expand access to national identity programs, we believe it is imperative to complement such efforts by providing an alternative to individuals lacking safe and reliable access to state-based systems.
5. We believe that individuals must have control over their own digital identities, including how personal data is collected, used, and shared. Everyone should be able to assert their identity across institutional and national borders, and across time. Privacy, portability, and persistence are necessary for digital identity to meaningfully empower and protect individuals.
6. Digital identity carries significant risk if not thoughtfully designed and carefully implemented. We do not underestimate the risks of data misuse and abuse, particularly when digital identity systems are designed as large, centralized databases.
7. Technical design can mitigate some of the risks of digital identity. Emerging technology — for example, cryptographically secure, decentralized systems — could provide greater privacy protection for users, while also allowing for portability and verifiability. But widespread agreement on principles, technical design patterns, and interoperability standards is needed for decentralized digital identities to be trusted and recognized.
8. This “better” model of digital identity will not emerge spontaneously. In order for digital identities to be broadly trusted and recognized, we need sustained and transparent collaboration aligned around these shared principles, along with supporting regulatory and policy frameworks.
9. ID2020 Alliance partners jointly define functional requirements, influencing the course of technical innovation and providing a route to technical interoperability, and therefore trust and recognition.
10. The ID2020 Alliance recognizes that taking these ideas to scale requires a robust evidence base, which will inform advocacy and policy. As such, ID2020 Alliance-supported pilots are designed around a common monitoring and evaluation framework.

We humbly recognize that this is no easy task, but we see urgency as a moral imperative. This is why we have set ambitious targets and why we hold ourselves to account.

# ID 2020

## AT A GLANCE

**The ID2020 Alliance is a global public-private partnership setting the future course of digital identity, ensuring that digital identity is responsibly implemented and widely accessible.**

**The ability to prove who you are is a basic human right and critical to a life of dignity and opportunity. But most systems used for identification are archaic, insecure, lack adequate privacy protection, and for one billion people, are inaccessible.**

**ID2020 is addressing two converging challenges.**

1. More than **one billion people**, including nearly one-quarter of all children, live without any official record of their existence. Without proof of identity, an individual is hindered at every turn — unable to vote, access healthcare, open a bank account, or receive an education — and bears a higher risk of trafficking.
2. **Universally**, systems of digital identity — new and old — don't protect privacy, aren't portable, and commoditize individual data.

**We need to act fast. There is an urgent three- to five-year window to set the trajectory of digital ID.**

There is increasing international focus on closing the identity gap quickly, and by harnessing the power of information technology, to address it digitally. But addressing the first challenge while ignoring the second, exposes individuals to both the risk of exclusion and the risks associated with misuse of identity data, ranging from identity theft to genocide.

**The question isn't "if" digital ID will be implemented and ultimately supplant what we have today, it's already happening. The real question is how.**

**Alliance partners work together towards a shared vision of user-managed, privacy-protecting, and portable digital ID through three activities:**



Through our **Certification Mark**, shape the technical landscape to ensure that the digital ID solutions which are developed and adopted are user-managed, privacy-protecting and interoperable.



Provide direct program support, either through funding from the ID2020's **Catalytic Fund** or through **Advisory Services**, to accelerate the uptake of good digital ID programs and facilitate access to vital rights and services, particularly for vulnerable populations.



**Advocate** for ethical approaches to digital ID that prioritize privacy and user-control.

## ID2020 Alliance partners believe that digital ID must be privacy-protecting, portable, recognized and trusted, and owned and managed by the individual to meaningfully improve lives.

The United Nations recognizes identity as a fundamental human right and has included “provid[ing a] legal identity for all, including birth registration, by 2030” as one of its Sustainable Development Goals (SDG 16.9). Yet more than one billion people around the world struggle to prove their identity. Most of those affected are children and adolescents, and many are refugees, forcibly displaced, and stateless persons. For these vulnerable and underserved populations, the inability to identify oneself formally heightens personal risk and limits opportunity.

At the same time, more than half of the planet has access to the Internet. Still more have access to mobile devices. Increasingly, our relationships with institutions, and with each other, take place in digital spaces. While digital ID is, at its core, exactly what it sounds like — using digital technologies as a way to prove who you are — if you interact with any technology connected to the Internet, you have a digital identity.

Our present model for digital identity is broken. These siloed digital credentials don’t empower individuals, as they rarely unlock access to vital services. Moreover, data is not under individual control, is often insufficiently secured, and is of significant value to bad actors who may exploit it for their own illegal, illicit, or unauthorized purposes.

Imagine, instead, that individuals could collect verifiable digital credentials — immunization records, vocational training certificates, proof of residency, etc. — and then granularly and selectively share these records to apply for a job, access financial services, or participate as a citizen or voter.

A young woman could present verifiable proof of her vaccines to enroll in school, even after having moved across international borders. A family of refugees could turn to an organization they trust to attest to their identities, limiting their reliance on their country of origin for proof of identity and potentially limiting personal risk. And a patient with a chronic condition could maintain a portable copy of her health records, facilitating care continuity between doctors and improving health outcomes.

“Closing the identity gap is an enormous challenge. It will take the work of many committed people and organizations coming together across different geographies, sectors and technologies. But it’s exciting to imagine a world where safe and secure digital identities are possible, providing everyone with an essential building block to every right and opportunity they deserve.”

Peggy Johnson, Executive Vice President Business Development, Microsoft

### We have defined four key characteristics required for digital ID to deliver on this promise:

#### Personal

Your digital ID is unique to you and only you.

#### Portable

Your digital ID is accessible anywhere you happen to be. And critically, it is recognized and trusted wherever you go.

#### Private

Only you can manage your digital ID and associated data, selectively choosing what to share and with whom.

#### Persistent

Your digital ID lives with you from birth to death.

All of ID2020’s work stems from this perspective. In 2018, ID2020 Alliance Partners, working in partnership with the United Nations High Commissioner for Refugees (UNHCR), drafted a formal articulation of our perspective on ethical approaches to digital identity. The landmark ID2020 Alliance Manifesto ([www.id2020.org/manifesto](http://www.id2020.org/manifesto)) lays out these shared principles and forms a starting point to guide the future of digital identity globally.

## Digital identity provides a leveraged opportunity for impact across global development.

Because digital systems underpin programs in global health, financial inclusion, refugee resettlement, and much more, digital ID offers a leveraged opportunity to invest in global development. Whatever issue you care about, going forward, an ethical, responsible approach to digital ID is step one.

Take, for example, the challenge of providing financial services to the world’s 1.7B unbanked individuals. Given rigorous (and necessary) Know Your Customer and Anti-Money Laundering regulations, banks cannot offer financial services to individuals without a verifiable identity. Similarly, organizations working to address poverty (SDG Goal 1), hunger (SDG Goal 2), global health (SDG Goal 3) or education (SDG Goal 4), are often stymied because they don’t know how many people they’re actually trying to serve, nor can they accurately measure progress. While digital ID alone cannot solve these issues, it provides a path to individual empowerment while providing accurate population-level data that amplifies ongoing global development work.

## The ID2020 Alliance drives impact through three distinct activities.

### 1) Technical Market Shaping: transforming markets to make user-managed, privacy-protecting, and portable digital ID the norm

In January 2019, the Alliance launched the ID2020 [Certification Mark](#) at the World Economic Forum in Davos. ID2020's Technical Advisory Committee (TAC), made up of leading experts on digital ID and its underlying technologies, established a set of functional, outcomes-based technical requirements for user-managed, privacy-protecting and portable digital ID.

The resulting Certification Mark gives direction to companies' product development roadmaps, steering the market towards ethical, inclusive technologies. Certification also allows companies meeting our technical requirements to market themselves as-such and gives those implementing these technologies confidence in adopting certified solutions. Heavily weighted in these requirements is a focus on modularisation, open standards, open APIs, and the portability of data between component systems, each of which is critical for interoperability, portability and avoidance of vendor lock-in. Alliance partners share a commitment to key principles for digital ID, but remain technology- and vendor-agnostic.

### 2) Program Support: accelerating the uptake of good digital ID programs, facilitating access to rights and services

Through ID2020's [Catalytic Fund](#), the Alliance supports organizations advancing high-impact digital ID programs. Many of these organizations are located in areas where identification coverage is low and where there is the greatest potential for digital ID to promote inclusive growth and personal opportunity. Ideal candidates for funding are organizations poised to self-fund their programs in the long-term, but who first need to establish proof-of-concept and need external funding to do so.

Beginning in 2020, the Alliance will also provide [Advisory Services](#) to governments, companies and NGOs considering implementing a digital ID program. ID2020's support will ensure that an ethical, user-managed approach to digital ID is enshrined in the early design of the program and carries through in technical procurement, program implementation, and ecosystem development.

Across both types of program support, ID2020 prioritizes programs where there is a clear potential for scale and replicability, and particularly those where we believe the partner organization is a key fulcrum for systemic change. For example, ID2020 is working closely with Gavi, the Vaccine Alliance to develop replicable programs linking immunization and digital ID, recognizing that nearly 60% of the 1.1B people without an identity are children under 18, and critically 89% of children and adolescents without identity live in Gavi-eligible countries.

ID2020 has developed rigorous processes to evaluate prospective digital ID programs for scalability, replicability, and interoperability, and to ensure that each program we support contributes towards a robust, comprehensive evidence base.

### 3) Joint Advocacy: building public awareness and stakeholder support for good digital ID

The Alliance has established a respected, trusted voice in the digital ID ecosystem due to our ethics-based approach, our breadth of experience, and the technical expertise of our partners. The Alliance can coordinate messaging to reach our partners' diverse audiences, amplifying our shared perspective on the potential risks and opportunities of digital ID.

The Annual ID2020 Summit brings together diverse voices from across the digital ID ecosystem, including executives from multinational companies, senior UN and government diplomats, technologists, and civil society, to discuss the ethics of digital ID and chart a shared roadmap forward.

**Across these three activities, ID2020 is focused on four thematic areas where we believe there is significant unmet need and a window for outsized impact.**



#### Cities

As government entities at the front-lines of social service provision, city governments can lead the way for best practices in digital ID.



#### People on the Move

With displacement rising globally, millions of refugees, forcibly displaced, and stateless people lack the requisite documentation to access vital services.



#### Financial Inclusion

Digital ID can play a significant role in expanding access to formal financial services for the 1.7B people currently unbanked.



#### Maternal and Child Health

Successful immunization and public health programs are a viable avenue towards ensuring universal birth registration and access to digital ID.

**As a collaborative effort of global partners, the Alliance is taking an approach that is holistic, market-based, and which is solving for scale at day one.**

No government, company or agency can solve this challenge alone. Setting the future course of digital ID and navigating the associated risks is a challenge that requires sustained collaboration.

Launched in 2017, the ID2020 Alliance has grown rapidly over the past three years and now includes over thirteen partners.

## Founding Partners



## General Partners



## ID2020 is unique in its philosophy and transparent governance.

ID2020 is building a new global model for the design, funding, and implementation of digital ID systems and technologies. There is no other multi-stakeholder effort focused on user-managed, privacy-protecting, and portable digital ID.

Ad-hoc investments in single use-case projects (“business as usual”) will be insufficient to bring about the transformative impact required. Changing the flow of funds is necessary to re-align incentives. That’s why Alliance partners are pooling funds and collaboratively investing in programs that consider digital ID holistically, as a platform for diverse benefits, services, and use cases throughout an individual’s life.

Private sector engagement is critical for solving at scale. Alliance partners include technology companies with a collective footprint in the billions and a shared commitment to an ethical approach to digital ID. Decisions about how Alliance funds are administered, which programs to fund, and which technical standards to support are made jointly by Alliance partners through a transparent governance process, preventing dominance by any single institution or sector.

At Gavi, we recognize how important it is that technology used in development settings protect individual privacy. Our partnership with ID2020 allows us to better understand the rapidly evolving digital identity landscape, and the launch of the Certification Mark provides valuable shorthand that Gavi, other development organizations, and governments can rely on to ensure that privacy and data protection are never compromised.

Seth Berkley, CEO, Gavi

## ID2020’s Board and Leadership

**Blythe Masters (Interim Chair)** - CEO, Digital Asset

**John Edge (Founder, Chair Emeritus)** - Fintech Entrepreneur

**Dakota Gruener (Executive Director)** -

Forbes 30 Under 30, Brown Biology and Political Science, Formerly with Gavi, the Vaccine Alliance

**Dr. Seth Berkley (Director, Gavi)** - CEO, Gavi, the Vaccine Alliance

**Elana Broitman (Director)** - Senior Advisor, Office of Senator Kristin Gilibrand

**Kim Cameron (Director, Microsoft)** - Microsoft Chief Architect of Identity, originator of Seven Laws of Digital Identity

**Ann Cavoukian (Director, Privacy)** - Distinguished Professor at Ryerson University, originator of Privacy by Design

**Chip Dempsey (Director)** - Chief Commercial Officer, Options Clearing Corporation

**David Treat (Director, Accenture)** - Global Blockchain Lead, Accenture

## Joining the Alliance

The Alliance is open to all partners aligned with the beliefs outlined above and cleared through ID2020's due diligence processes. The governance model is designed to avoid dominance by any single institution or sector.

- The tiered model is designed to ensure that partnering organizations contribute at a level appropriate for their skills and competencies, while recognizing the "bold bets" made by founding partners
- The two seats on the Board for representatives of private sector Founding Partners act as representatives of the pool of private sector Founding Partners. The same is true of the two seats for representatives of public sector Founding Partners.
- We recognize that our collective impact will be maximized through collaboration across sectors, and even with direct competitors. To that end, Alliance partners are actively working to involve their own "rivals" in the Alliance's work.

The Alliance is committed to open standards, open source technology, and organizational transparency. While Alliance partners are able to help shape the Alliance's work, the Alliance is in no way is designed to create monopolies or vendor lock-in.

	PRIVATE SECTOR		PUBLIC SECTOR		INDIVIDUALS
Commitment	Founding Partners	General Partners	Founding Partners	General Partners	
Initial Commitment*	Based on # of employees: \$1M (5,000+) \$500K (500 - 4,999) \$200K (50 - 499) \$50K (<50)	n/a	No financial contribution is required for participation of public sector organizations; however, all partners will be expected and required to participate in applicable ways through the contribution of human capital, intellectual property and/or goodwill. Public sector Alliance partners are also encouraged to help finance the work of the ID2020 Alliance to the extent that grants and donations are a core operational function of the partnering organization.		
Annual Commitment*	Based on # of employees: \$250K (5,000+) \$125K (500 - 4,999) \$50K (50 - 499) \$12.5K (<50)	Based on # of employees: \$100K (5,000+) \$50K (500 - 4,999) \$20K (50 - 499) \$5K (<50)			
Benefits					
Logo on ID2020 Website	yes (featured)	yes	yes (featured)	yes	
Right to use ID2020 logo on partner website	yes	yes	yes	yes	
Participation in ID2020 events	yes (preference for speaking slots)	yes	yes (preference for speaking slots)	yes	
Organizational representative eligible for one of four (4) partner seats on the Executive Board	yes		yes		
Eligibility to directly nominate representatives to two (2) Advisory Committees	yes		yes		
Eligibility for Advisory Committee participation through the General Partner nomination process		yes		yes	yes
Eligibility for Working Group involvement	yes	yes	yes	yes	yes

\* Participation as a Founding Partner requires an up-front commitment as outlined above. The upfront commitment includes the first two (2) years of membership at the Founding Partner level. At the third anniversary of membership, Founding Partners are asked to contribute on an annual basis at the rate outlined above.

# ID 2020

## **Program Monitoring and Evaluation** *Pilot Metric Development*

The ID2020 Alliance is committed to rapidly scaling up portable, privacy-protecting and user-centric digital identity, and recognizes that meaningful evidence and learning is significant to realizing that aim.

In order to generate the requisite base of knowledge, it is critical that a set of common learning objectives, metrics and monitoring practices be woven into the design and implementation of digital identity pilots. If well selected and well implemented, these common learning objectives will generate the program data needed to compare learnings, facilitate scalability and promote accountability.

To this effect, ID2020 has identified three overarching assessment areas:

1. Effectiveness, efficiency and impact of pilot projects
2. Replicability and scalability of the digital identity programs
3. Privacy and data protection of the digital identity solutions

With the support of the Rockefeller Foundation, ID2020 partnered with IDinsight to identify metrics which would capture feasible, actionable and generalizable data on digital identity programs. This partnership resulted in the following report which is intended to not only guide internal decisions but also assist external stakeholders with designing insightful monitoring and evaluation protocols.





# ID2020 Pilot Metric Development

## Recommendations

Prepared for the ID2020 Alliance

September 6, 2018



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## EXECUTIVE SUMMARY

### Background

ID2020 is a global partnership of governments, non-governmental organizations (NGOs), and the private sector committed to improving lives through digital identity (ID). The partnership coordinates and channels funds toward high impact projects. ID2020 is currently identifying promising digital ID pilots to receive catalytic funding.

ID2020 partnered with IDinsight to establish a set of universal metrics across ID2020-supported pilots that will support learning and contribute to global evidence in the digital ID sector. More broadly, these metrics will enable a range of actors to build responsible digital ID systems globally.

### Engagement Overview

To establish recommended metrics, IDinsight conducted 26 expert interviews across 23 stakeholders involving governments, NGOs, the private sector, and funding organizations. These interviews focused on stakeholder priorities, activities, and capacities relating to digital ID and measurement. Interviewee input, combined with internal measurement and digital ID expertise was used to create a bank of potential metrics. Each metric was then ranked according to six criteria (feasible, actionable, generalizable, accurate, precise, and perceived importance) to generate a list of candidate metrics. Universal metrics were selected from among this list to minimize data collection burden on pilots and facilitate meaningful comparisons.

### Recommendations

To maximize learning from the pilot phase of ID2020's strategic roadmap, we recommend the following:

1. **Conduct a pre-pilot exercise with implementers to gain a deeper understanding of pilots' initial program design.** This pre-pilot exercise can generate a deeper understanding of a pilot's initial program design, its available capacity at the start of the pilot, and other factors that are likely to signal the pilot's potential for success and alignment with ID2020's vision of a high-impact digital ID system.
2. **Collect seven universal metrics from each ID2020 pilot to facilitate cross-pilot comparisons.** All pilots should be required to collect and report on the universal metrics to maximize learning across pilots.
3. **Support data collection for 11 user-based universal metrics from each pilot.** User-based metrics should be collected directly from end-users of the digital ID system. Therefore, ID2020 should only require these metrics from pilots if ID2020 is willing to support data collection.
4. **Work with pilots to determine the feasibility and applicability of collecting up to 12 secondary metrics.** Secondary metrics will complement universal metrics to allow ID2020 to make a more comprehensive assessment about the likelihood of a given pilot's success. They will not necessarily be used to compare across pilots.
5. **Select a subset of pilots for deep measurement and evaluation to generate more rigorous evidence for the digital ID sector.** ID2020 has an opportunity to generate deeper evidence for the digital ID sector through impact evaluations of a subset of pilots that represent high potential use cases.

## CONTEXT

ID2020 is an alliance that brings together and coordinates funding for stakeholders who are working to improve lives through digital identity (ID). ID2020 is set to begin funding promising pilots working in digital ID to help them scale. This requires a set of universal metrics by which ID2020-funded pilots can assess and improve their processes and make a case for support to scale up. ID2020 will use the metrics for advocacy and program prioritization. More broadly, these metrics will be a useful tool for a range of actors in the sector to help them build healthy digital ID systems globally.

While some stakeholders have begun to develop policies and guidelines for digital ID systems, there is limited guidance as to how digital ID programs can measure and track their fulfilment of these guidelines. Therefore, ID2020 has partnered with IDinsight to interview digital ID experts and define a set of metrics to contribute to cross-pilot monitoring and evaluation frameworks.

## ENGAGEMENT OBJECTIVES

This engagement had two objectives:

- Identify measurement priorities and capacities of current digital ID stakeholders.
- Define a set of universal metrics that can be commonly measured and would capture pilots' performance and effectiveness.

## METHODS

IDinsight drew from three sources in developing priority metrics: (i) a digital ID pilot theory of change;<sup>1</sup> (ii) stakeholder interviews; and (iii) IDinsight's experiences leading the State of Aadhaar initiative.<sup>2</sup>

IDinsight and ID2020 built a list of potential interviewees based on ID2020's network and IDinsight's State of Aadhaar project expertise. The key consideration when building this list was to ensure that it represented the different types of actors (funders, governments, technology developers, etc.) as well as different sectors (blockchain, health, financial inclusion, etc.) that currently work in digital ID. We reached out to 38 stakeholders and conducted 26 interviews across 23 organizations and companies.<sup>3</sup> The interviews investigated three areas:<sup>4</sup>

- **Current and future state of the digital ID sector.** Themes discussed in this area highlighted existing debates within the digital ID sector where more data could be transformational and inform priority measurement areas and related metrics.

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<sup>1</sup> ID2020 provided an initial high-level theory of change that depicted how digital ID systems can lead to improved lives. IDinsight broke that theory of change down into more detailed nodes and pathways to better unpack how digital ID inputs lead to outputs, outcomes, and impact. This detailed theory of change (Appendix A) was used to identify priority nodes and pathways for measurement.

<sup>2</sup> The State of Aadhaar initiative, hosted by IDinsight, aims to catalyze data-driven discourse and decision-making in the Aadhaar ecosystem. The goals of the initiative include: (1) generating unique insights on Aadhaar through primary and secondary research, (2) catalyzing a data-driven discourse on Aadhaar, and (3) informing policy on the appropriate use of Aadhaar. More information can be found here: <https://stateofaadhaar.in>.

<sup>3</sup> The breakdown of the interviews conducted by type of stakeholder and sector can be found Appendix B.

<sup>4</sup> The full interview guide is in Appendix C.

- **Role that the interviewee’s organization plays in the sector.** The goal of this section was to understand what type of programs are being implemented in order to develop and refine the universal metrics.
- **Monitoring and evaluation capacity of the organization with regards to digital identity.** This section aimed to understand what type of data collection methods would be feasible to recommend for the universal metrics. This section also included questions about the metrics that interviewees perceive to be the most important to collect.

From these interviews, we derived a list of measurement areas and related metrics that emerged as important, addressed a range of issues (i.e. access to services, privacy, user-centricity, etc.), were applicable across all/most sectors, and represented a mix of process and outcome metrics. We then linked each metric to the theory of change and ranked it according to six criteria:

1. **Feasible** – The ease of collecting data for the metric and amount of additional resources that need to be invested for data collection
2. **Actionable** – The likelihood that the metric will inform decision-making
3. **Generalizable** – The relevance of the metric to a wide range of pilots
4. **Accurate** – The metric’s relationship to the measurement construct
5. **Precise** – The reliability and verifiability of the data collection method
6. **Perceived importance** – How often the metric was emphasized in interviews

We graded each proposed metric according to these criteria with a “3” (high), “2” (medium), or “1” (low).<sup>5</sup> The grading of these criteria was the main tool we used to filter between the recommended metrics (universal, user-based, and secondary) and metrics that we do not recommend to collect.<sup>6,7</sup>

## RECOMMENDATIONS

The primary goal of the ID2020 engagement was to define a focused set of metrics to apply across ID2020 digital pilot sites. These universal metrics were selected to facilitate comparison of pilots to guide ID2020’s strategic decision-making, including funding decisions.

Stakeholder interviews highlighted that the digital ID sector can benefit from more evidence and measurement to unlock deeper understanding of both the potential pathways and magnitude of impact that digital ID systems

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<sup>5</sup> Definitions of these grades are included in Appendix D.

<sup>6</sup> The full list of metrics that were not selected as potential candidates for universal or secondary metrics can be found in Appendix E.

<sup>7</sup> In addition to our metric development process, at ID2020’s request, we noted key takeaways from each interview that were then used to generate high-level insights. Through reflection on the key takeaways from each interview, we identified consistencies and contrasts in opinion on key topics, including privacy, security, and interoperability. These insights and related recommendations are included in Appendix F.

have on improving lives through digital identity. Interviews also revealed that digital ID implementers' monitoring and evaluation capacity varied widely.

With this framing in mind, we selected a set of universal metrics from an initial list of candidate metrics according to two principles:

1. **Minimize data collection burden on pilot implementers.** Given the likelihood of limited M&E capacity and data collection capabilities, we recognize that a few data points could translate into a large ask. Therefore, we limited the number of universal metrics and prioritized the “Feasibility” rating. Allowing pilots to focus on collecting a small number of metrics well will likely increase data quality and minimize diversion of capacity and resources away from pilot implementation.<sup>8</sup>
2. **Select metrics that are applicable to a variety of pilots and span critical nodes on the theory of change.** The metrics will best be positioned to facilitate comparisons across pilots if they apply to a variety of pilots. Therefore, we also prioritized the “Generalizability” rating. Further, since there are a limited number of universal metrics, they should cover various nodes on the theory of change to provide a more comprehensive overview of the pilot. The metrics are highlighted on our detailed theory of change in Appendix A.

While the universal metrics will facilitate comparisons across pilots, ID2020 may need additional data to more fully understand the likelihood of success for a given pilot. In many cases, these additional data points will need to be interpreted with the context of the specific pilot in mind. Therefore, we have five recommendations for ID2020 to maximize learning from the pilot phase of their strategic roadmap:

1. Conduct a **pre-pilot exercise** with implementers to gain a deeper understanding of pilots' initial program design
2. Collect **seven universal metrics**<sup>9</sup> from each ID2020 pilot to facilitate cross-pilot comparisons
3. Support data collection for **11 user-based metrics** from each pilot
4. Work with pilots to determine the feasibility and applicability of collecting **up to 12 secondary metrics**
5. Select a subset of pilots for **deep measurement and evaluation** to generate more rigorous evidence for the digital ID sector

### Recommendation 1: Conduct a pre-pilot exercise with implementers to gain a deeper understanding of pilots' initial program design

The universal metrics will help ID2020 assess how pilots are performing, whereas the pre-pilot exercise will generate a deeper understanding of a pilot's initial program design, its available capacity at the start of the pilot, and other factors that are likely to provide evidence of the pilot's potential for success. For any initial pilots that ID2020 has already selected, this pre-pilot exercise is a way to build upon current thinking and gather more information to improve the chances of success. Further, a pre-pilot exercise could help inform which secondary metrics to prioritize for a given pilot (see Recommendation 4). As ID2020 gains better familiarity of which

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<sup>8</sup> Data quality guidelines are included in Appendix G.

<sup>9</sup> Each metric will not be applicable to every category of pilot. There are five to seven metrics that are applicable to ID providers (depending on if they are linked to specific services) and four metrics that are applicable to service providers.

factors are most correlated with success, this pre-pilot exercise could become a formal part of the pilot application process to inform pilot selection.

The pre-pilot exercise should involve an interview with pilot representatives that focuses on:

- **Definition of the “end user” and enrollment targets.** Pilots should describe the eligibility criteria for the end users of the pilot. They should also provide an initial target number of beneficiaries that they aim to reach over the course of the pilot.
- **Current protocols and processes for recruitment, enrollment, and access of services.** Pilots should describe their envisioned protocols for identifying and enrolling individuals, including consent options and procedures. These protocols should also articulate how users will use the digital ID to access services (if applicable). The data collected during the pilot can then be used to assess if protocols are being followed and processes are occurring according to plan.
- **Characteristics of the digital ID system and linked services.** ID2020 can assess and explore the current and expected levels of interoperability and portability of the digital ID system.
- **Existing capacity and infrastructure to support the pilot.** Pilots should describe their existing personnel, infrastructure, and additional resources that they plan to dedicate to implementation during the pilot.
- **Activities that the pilot or partner organizations will do to strengthen implementation.** Examples include trainings, awareness campaigns, and registration drives.
- **The pilot-specific theory of change.** Pilots should articulate the specific pathways through which they believe they improve lives through digital identity.

## Recommendation 2: Collect seven universal metrics from each pilot funded by ID2020 to facilitate cross-pilot comparisons

We recommend that ID2020 require all relevant pilots to collect and report on the universal metrics outlined in this section. Five to seven metrics are applicable to ID providers (depending on whether they are linked to specific services), and four metrics are applicable to service providers.<sup>10</sup> Pilot applicants should outline which metrics are already routinely collected, by whom, how, and with what frequency. For those metrics that are not currently collected, pilot applicants should outline their plan for how they will begin collecting these metrics during the pilot.

We recommend that ID2020 pilot these recommended universal metrics with early pilots to get a better understanding of how feasible these metrics are to collect and the level of learnings that they are able to generate. Our list of universal metrics and type of pilot for which the metrics are relevant are outlined in

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<sup>10</sup> “ID provider” refers to a stakeholder that is developing the digital ID technology and program. “Service provider” refers to implementers that are providing digital ID-linked services. Some stakeholders may play both roles.

Table 1 and described in greater detail below.<sup>11</sup>

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<sup>11</sup> More detailed tables of the recommended metrics are included in Appendix H.

Table 1. Recommended universal metrics

Measurement area	Metric	Relevant pilot	
		ID provider	Service provider
[1] Feasibility of Adoption/Scale-up	[1a] Projected cost per enrolled user over the span of five years	Yes	Yes
[2] Enrollment	[2a] Number of users enrolled in the digital ID system	Yes	No
	[2b] Proportion of targeted users that enrolled in the digital ID system during the pilot	Yes	Yes
[3] Privacy	[3a] Proportion of non-user initiated usages of data to which users are asked to consent	Yes	No
	[3b] Number of personal data points that are accessed by a digital ID-linked service/right that are not needed to provide it	Yes	No
[4] Access to Services	[4a] Proportion of people who have successfully used the digital ID platform to access a service during the pilot among those enrolled in the digital ID system	Yes (if linked to a specified service)	Yes
	[4b] Proportion of people who have experienced a failed authentication when attempting to access a digital ID-linked service during the pilot	Yes (if linked to a specified service)	Yes

*Metric 1a: Projected cost per enrolled user over the span of five years<sup>12</sup>*

ID2020 is interested in the cost-benefit ratios for pilots in the digital ID sector. A pilot's projected cost per enrolled user will allow ID2020 both to compare the cost-benefit ratios across pilots and to assess the financial sustainability of each pilot. This metric is relevant for all pilots that have direct digital ID costs, though ID2020 should focus on comparisons within a specific category of stakeholders.<sup>13</sup>

While ID2020 could ask pilots to provide initial projections in the pilot application, pilots should collect real cost data to update inputs. Important cost categories include:

- Upfront investment costs (e.g., hardware, design of technology, cost of initial connections to digital ID system, etc.)
- Operational costs for running and maintaining the digital ID system (e.g., additional personnel, maintenance fees, publicity, training, etc.)

<sup>12</sup> Five years was chosen to allow for smoothing of investment costs over time and to capture expected uptake beyond the pilot stage.

<sup>13</sup> For example, the expected cost-benefit of a digital ID provider who may be developing digital ID technology will likely be different from a service provider who will link to existing digital ID technology.



- Cost-savings as a result of the digital ID system (e.g., reduced time to provide a service, faster identity verification, etc.)
- Revenues as a result of more customers / service users
- Service costs/cost-savings if the cost of service delivery changes as a result of the digital ID<sup>14</sup> (e.g., reduced time to link across service instances, reduced time to gather customer information, etc.)

Enrollment data should be collected from administrative records. Costs and the expected number of users should be modeled over a five-year time horizon. To ease the burden of these calculations and to facilitate standardization across pilots, ID2020 could include a cost-benefit template for pilot applicants.

*Metric 2a: Number of users enrolled in the digital ID system*

User enrollment is a critical step on the digital ID theory of change. This metric should be used to compare the absolute number of beneficiaries included in the digital ID system across ID provider pilots. It is particularly useful when tracked across time as an indication of the demand for the digital ID as well as the capacity of the pilot to enroll users.

This metric should come from administrative data.<sup>15</sup>

*Metric 2b: Proportion of targeted users that enrolled in the digital ID system during the pilot*

Expanding on the previous metric, this metric presents the proportion of the targeted users who were enrolled in the digital ID system over the course of the pilot. This metric should be used to compare how quickly and effectively pilots enroll users according to expectation.

The numerator – number of users enrolled in the system over the course of the pilot– should be tracked by the number of total users who are enrolled or registered in a digital ID system.

The denominator – number of potential users targeted during the pilot – should be based on the enrollment targets set at the start of the pilot.

*Metric 3a: Proportion of non-user initiated usages of data to which users are asked to consent*

User consent is an important component of privacy. Users should know how their personal information is being used, and they should have the opportunity to consent (or refuse consent) to this usage. This metric can be used to compare completeness of consent across pilots and, therefore, the degree of transparency that pilots have with their users.

This metric can be self-reported by the ID provider. A single usage of data refers to a specified explicit purpose by a specific stakeholder (i.e. a government agency, a private company, etc.) for which the identified data is used. It could be within the same body or by additional actors / third parties. Consent refers to an action in which the user agrees to each specific usage separately. There may be cases where separate consent is not possible, but that should be publicly justified and documented.

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<sup>14</sup> If the digital ID system will not affect the cost of delivering the service beyond identity verification, then service costs do not need to be included.

<sup>15</sup> In some cases, digital ID systems may not be able to distinguish which IDs belong to unique individuals (e.g., one person can have multiple email addresses). In this case, the ID provider will need to make some assumptions about the average number of IDs a unique individual has in order to calculate the estimated number of unique enrollees.

Note that this metric may be vulnerable to misreporting if providers either do not accurately report on the various usages of user data or on the usages to which they solicit consent. If ID2020 does pursue user-based metrics (see Recommendation 3), consent could be explored further during those surveys. Additionally, ID2020 could pose as a “mystery shopper” (if possible) to confirm what is made clear during the consent process.

*Metric 3b: Number of personal data points that are accessed by a digital ID-linked service/right that are not needed to provide it*  
Digital ID-linked services or rights should only have access to the data that are needed to provide these services or rights. Service providers should not request additional data from ID providers, and ID providers should be responsible gatekeepers for their users’ personal data. This metric can be used to compare how well systems protect user privacy across service provider pilots.

This data can be collected from self-report or administrative data from service providers, though this metric is relevant for ID providers who should ultimately be responsible for safeguarding user data. As with the previous metric, this metric may be vulnerable to misreporting. When possible, ID2020 should observe the raw data points that a service provider can access.

*Metric 4a: Proportion of people who have successfully used the digital ID platform to access a service during the pilot among those enrolled in the digital ID system*

Enrolling the digital ID system is only one step to improved lives. This should be followed by usage of the digital ID to access services. This metric will allow ID2020 to understand the percentage of enrollees who are actively using the digital ID system to access a service. It is an indication of the magnitude of impact that a pilot could achieve or is achieving.

This metric should be collected by both service-linked ID providers and service providers. The numerator is the number of unique IDs (which we assume map to individuals) that have been successfully used during the pilot to access a service (i.e., authentication was successful). The denominator is the number of individuals enrolled in the system who would theoretically access this service or would have a genuine claim to accessing that service.<sup>16</sup>

This metric should also be collected using administrative data. If this metric is low, it does not necessarily mean that people are not accessing the service. However, low usage could suggest that the digital ID component to accessing the service has smaller value-add than one might hope.

*Metric 4b: Proportion of people who have experienced a failed authentication when attempting to access a digital ID-linked service during the pilot*

Many pathways to impact for digital ID are connected to utilizing services and rights. This metric largely attempts to capture the degree to which the digital ID limits people from accessing services. Authentication could fail if there is a glitch in the software, the hardware is broken, or there are errors in the system.

This metric should be captured using administrative data from either the service provider or the ID provider, depending on which is better positioned to capture this data.<sup>17</sup> The numerator should be the reported number

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<sup>16</sup> In most cases, this will be the same as the number of individuals enrolled on the system. However, in some contexts, services may only apply to subsets of the enrollees. For example, people over retirement age may use a digital ID to access social security benefits, even though people of all ages may have that digital ID. The denominator should be restricted to the number of enrollees over retirement age.

<sup>17</sup> ID2020 should work with pilots ahead of time to understand what administrative data on authentication failures their system can automatically capture and the format of that data. Authentication failures might not always align with the number of individuals who cannot access services due to authentication failures. For example, if one person attempts to

of people who have experienced a failed authentication, while the denominator should be the total number of people who attempt to use the digital ID to access the service.

Many services have some form of backup, so it is possible that this metric does not fully capture exclusion. While individuals still may ultimately access the service, this metric is still a helpful proxy in most, if not all, cases.

### Recommendation 3: Support data collection for 11 user-based metrics from each pilot

There are an additional 11 metrics that are also universal since they facilitate comparisons across pilots in key areas of the digital ID theory of change. However, these metrics require user-based data collection, which can be resource-intensive. We encourage ID2020 to support data collection for these 11 metrics either by providing an external measurement team or by financing data collection by the pilot organization.<sup>18</sup> If ID2020 is not in a position to support data collection for these metrics, we do not believe that ID2020 should request these metrics from the pilots (unless the pilots are already collecting them).

While some of these metrics may have proxy metrics that could be measured through administrative data from ID or service providers, these sources are likely to be less rigorous than user-based data either because administrative data does not exactly capture the measurement construct or because it may be less accurate. Therefore, we recommend that these metrics are collected directly from users for the pilot phase. Once pilots move into a scale-up phase, they could move to administrative proxy measures for ongoing monitoring.

Our list of user-based metrics and the type of pilot for which they are relevant are outlined in

Table 2 and described in greater detail below. The phrasing of the questions for each of these metrics should be piloted with potential users to refine the exact language. To the extent possible, the framing should be consistent across pilots.

*Table 2. Recommended user-based metrics*

Measurement area	Metric	Relevant pilot	
		ID provider	Service provider
[4] Access to services	[4c] Proportion of people without a digital ID who have been denied access to a digital ID-linked service	Yes	Yes
[5] User-centricity	[5a] Proportion of eligible users who receive information on the consent process	Yes	Yes
	[5b] Proportion of eligible users who understand the consent process	Yes	Yes
	[5c] Proportion of eligible users who receive information on the enrollment process	Yes	Yes

access a service four times with her digital ID, the system may capture four authentication failures, even though this is one individual. ID2020 should work with pilots to understand how this data is captured and how best to approximate authentication failure of a single individual.

<sup>18</sup> Pilots should only collect their own user data if they have adequate measurement capacity within their organization.

	[5d] Proportion of eligible users who understand the enrollment process	Yes	Yes
	[5e] Proportion of eligible users who receive information on how their data will be used	Yes	Yes
	[5f] Proportion of eligible users who understand how their data will be used	Yes	Yes
[6] User attitudes	[6a] Proportion of users who have a grievance related to the digital ID during the pilot	Yes	Yes
	[6b] Proportion of eligible users who feel that the digital ID system is secure	Yes	Yes
	[6c] Proportion of eligible users who feel that the digital ID system adequately protects their privacy	Yes	Yes
[7] Attribution and Credentialing	[7a] Average number of credential documents added per user over the pilot period	Yes	Yes

*Metrics 4c: Proportion of people without a digital ID who have been denied access to a digital ID-linked service*

While administrative data can be used to measure the degree to which services are accessed through digital ID, it cannot be used to capture the degree with which access is denied as a result of not having a digital ID. This metric can be used to compare ease of access to services across pilots.

This metric should be collected among a representative sample of the population who could be served by a potential service<sup>19</sup> but who do not have a digital ID.

*Metrics 5a-f: Eligible user knowledge of the digital ID consent, enrollment, and data use processes*

We recommend six metrics to capture availability of information and potential user knowledge of the digital ID system. These include:

- 5a. The proportion of eligible users who receive information on the consent process
- 5b. The proportion of eligible users who understand the consent process
- 5c. The proportion of eligible users who receive information on the enrollment process
- 5d. The proportion of eligible users who understand the enrollment process
- 5e. The proportion of eligible users who receive information on how their data will be used
- 5f. The proportion of eligible users who understand how their data will be used

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<sup>19</sup> The lack of digital ID may be the only factor limiting access to the service.

These six metrics should be collected among a representative sample of the target population – those that have the ID already and those who do not.<sup>20</sup> For each step and the relevant binary sub-metric, users should be asked knowledge-based questions to ascertain their level of understanding by comparing user responses to what is actually known from the pilot’s description of its processes and procedures.

*Metric 6a: Proportion of users who have a grievance related to the digital ID during the pilot*

User satisfaction with the digital ID system is critical for the system’s sustainability and uptake. This metric is a binary metric for whether users have a grievance related to the digital ID. Grievances can relate to any aspect of the digital ID system, including (but not limited to) ease of use, links to services, hardware, ID requirements, etc.<sup>21</sup>

This metric should be collected among a representative sample of users who have or have attempted to enroll in the digital ID system to ensure that this mechanism is capturing user grievances rather than perceived grievances. While this metric focuses on whether a user has a grievance, the opportunity to survey ID users should be used to explore the factors behind these grievances. Learnings could help pilots understand why some aspects of digital ID might not be working and identify strategies for improvement.

*Metric 6b: Proportion of eligible users who feel that the digital ID system is secure*

This metric is intended to understand user perceptions of security. It can be used to compare one dimension of user trust across pilots. This metric should not be interpreted as a measure of whether a system *is* secure, as user perceptions may not align with reality.

This metric should be collected among a representative sample of the target population who have heard about the digital ID system. As with the previous metric, while this metric is binary, follow-up questions could be added to explore what factors drive user perceptions to help pilots improve their brand and improve user trust.

*Metric 6c: Proportion of eligible users who feel that digital ID system adequately protects their privacy*

Another dimension of user trust is users’ perception that a system protects their privacy. As with the previous metric, this should not be interpreted as a measure of whether a system *does* protect user privacy.

This metric should also be collected among a representative sample of the target population who have heard about the digital ID system. Again, follow-up questions could be added to understand why eligible users feel the way that they do.

*Metric 7a: Average number of credential documents added per user to digital ID over the pilot period*

ID2020 prioritizes systems that provide ease of adding credentials. This metric will help ID2020 compare this dimension of digital ID systems across pilots. Since ID providers and service providers may be unlikely to know the full extent to which credential documents are added to digital IDs, this metric is best measured by asking users how many credential documents they have added over the pilot period. This metric should be collected

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<sup>20</sup> The sample should be limited to those who are aware that the digital ID system exists. It may not need to be limited to those who have had the chance to enroll, as individuals could still know this information but choose not to enroll.

<sup>21</sup> We recommend that this metric be collected through a user survey rather than data captured through a grievance mechanism because 1) not all systems will have a grievance mechanism and 2) some users may not know about or be able to use the grievance mechanism if it does exist. Therefore, relying on administrative data may capture the ease of issuing a grievance rather than the extent to which users have grievances.

for all ID systems. While user responses will be “0” for those systems that do not allow for adding credentials, this is still meaningful given ID2020’s interest in this aspect.<sup>22</sup>

This metric should be collected among a representative sample of enrolled users.

#### Recommendation 4: Work with pilots to determine the feasibility and applicability of collecting up to 12 secondary metrics

The universal metrics alone do not capture the complete story of the pilot. Therefore, we have included a list of secondary metrics that can add relevant information about the likelihood of success of a given pilot. These metrics are secondary metrics rather than universal because:

1. The feasibility of data collection depends highly on the availability of existing data, which may vary widely across pilots
2. The metric is less generalizable across pilots
3. The interpretation of the metric relies on the context of the pilot, making it less comparable across pilots
4. The metric is meant to generate additional data that will help the pilot diagnose underlying challenges, but is not a performance metric

We recommend that ID2020 work with pilots to understand which secondary metrics pilots could feasibly collect and how they would collect them. Pilots should collect those metrics that are feasible to collect and are relevant to that pilot’s context. ID2020 may also select two to three additional metrics that pilots should find a way to collect if they are particularly important to that pilot’s theory of change. This can be determined by mapping the pilot’s theory of change to the detailed digital ID theory of change and identifying the critical nodes for that pilot.<sup>23</sup>

The starting list of secondary metrics is included in Table 3 and described in greater detail in Appendix I.

*Table 3. Recommended secondary metrics*

Measurement area	Metric	Relevant pilot	
		ID provider	Service provider
[1] Feasibility of adoption / scale-up	<i>Fully captured in Table 1</i>		
[2] Enrollment	[2c] Proportion of people who have not been able to enroll in the digital ID system when attempting to enroll during the pilot	Yes	Yes
[3] Privacy	[3c] Number of third parties involved in processing data during enrollment and service provision	Yes	Yes

<sup>22</sup> There may be digital ID systems that are in early stages or that serve specific populations, where no ability to add credential documents is reasonable.

<sup>23</sup> IDinsight could be a thought partner in this work.

[4] Access to services	[4d] Proportion of authentication events during which a manual override is required during the pilot	Yes	Yes
	[4e] Average staff time required for direct and indirect service delivery that is linked to digital ID	No	Yes
	[4f] Proportion of digital identities that are reported as having incorrect information	Yes	Yes
[5] User-centricity	[5g] Did the pilot consult users on their needs during the initial design of the digital ID system? [Y/N]	Yes	Yes
	[5h] Number of changes in design of digital ID system as a direct result of user feedback during the first year of the pilot	Yes	Yes
	[5i] Score on approval rating system	Yes	Yes
[6] User attitudes	[6d] Number of times a person accesses a service with a digital ID	Yes	Yes
[7] Attribution and Credentialing	<i>Fully captured in Table 2</i>		
[8] Security	[8a] Number of security breaches during the pilot	Yes	Yes
[9] Data quality	[9a] Number of instances users correct data once it has been entered into the digital ID database	Yes	No
[10] Data portability	[10a] Number of reported service providers that have accepted the digital ID platform	Yes	No

### Recommendation 5: Select a subset of pilots for deep measurement and evaluation to generate more rigorous evidence for the digital ID sector

The majority of the proposed metrics are related to tracking inputs, implementation, and outputs. Few test the linkage between outputs and outcomes (and, by extension, impact). Further, several of the interviewees highlighted that there is not yet evidence that digital ID actually improves lives beyond the ID systems that already exist. There also is a lack of evidence that tests which use cases are most impactful and cost-effective.

While we generally recommend that ID2020 calibrate selected metrics across pilots so that they are feasible given the pilots' capacities, this focus may limit the rigor with which pilots can measure their impact. For this reason, we encourage ID2020 to invest more deeply in specific pilots that can 1) rigorously test assumptions that relate to the social impact of digital ID and 2) generate deeper data-driven insights into the efficiencies and challenges of digital ID systems.

Pilots that should be considered for deeper investigation are those that:

- Represent likely future use cases in the digital ID space
- Currently lack evidence for their broader use case

- Have the capacity to work with an external measurement team
- Buy into the idea of deeper investigation and are committed to acting on results
- Implement interventions that are replicable at a large scale

## LOOKING AHEAD

ID2020 is in a unique position to convene an array of stakeholders working in the digital ID sector united behind a common vision of responsible and impactful digital ID systems. Generating data and evidence will help ID2020 optimize the impact of its funding and prioritize the most promising digital ID pilots. Early pilots should generate valuable learnings for ID2020. We recommend that this document be considered a “living document.” ID2020 should continue to refine the metrics it collects based on the types of pilots that they support and the learnings that are most valuable to their strategic decisions and to advancing the goals of the digital ID sector.



# Pilot Assessment Process

ID2020 supports pilots that are either submitted through its portal or developed in partnership with existing alliance members. Regardless of intake method, all pilot proposals must meet the same rigorous standards to receive organisational support.

## Portal Proposal

This section outlines the processes by which a pilot proposal submitted through the portal is received, reviewed and approved for implementation.

### (A) Pilot Submission

A pilot proposal is submitted for consideration through the online portal by individuals, organisations or partnerships. Any supplemental materials sent through alternative means should be added to the application. At this point, projects may or may not be already known to the Secretariat.

### (B) First Round Review

The Secretariat conducts an internal review of the proposal using a set of standardized criteria. Each reviewer first performs an independent assessment of the application. Any corresponding scores and comments are recorded and consolidated in the portal. This internal evaluation phase primarily concerns the conceptual strength of the proposal including technical product, needs assessment, potential impact and resource requirements.

At the next review meeting, the Programs team discusses the proposal with all individual assessments viewable. The conversation focuses on those areas with major score differences. For promising and viable proposals, the team schedules an initial call with the applicant to seek **clarification** and further information on any aspect of the pilot. If appropriate, the Programs team recommends changes to the proposal during these sessions.

The Secretariat then determines if the proposal is:

#### **I. Advanced to Second Round Application**

A proposal is advanced to the secondary application round if it provides sufficient detailed and a comprehensive summary of a pilot which is feasible, impactful and aligned with the values of the Alliance.

## **II. Recommend for Engagement**

A proposal requires further engagement with and detail from the applicant if (A) it is missing or has provided insufficient core pilot design information, or (B) it is unclear whether the pilot intervention would adhere to ID2020's organisational values. The Programs team may suggest changes to the pilot design.

## **III. Rejected with Ecosystem Action (See Appendix A)**

A proposal is transferred to ecosystem engagement if it contains (A) a useful technology, (B) interesting use case, or (C) extensive organisational operations. At this point, the pilot proposal is rejected.

## **IV. Rejected with No Further Action**

A proposal is rejected if it is clearly (A) impractical to implement, (B) missing significant core components, or (C) incompatible with the values and mission of the organisation.

Review meetings are convened every two weeks with an expedited schedule for time-sensitive pilots.

### **(C) Second Round Review**

Qualified proposals then receive a secondary application with more detailed questions focused on the readiness for implementation of the pilot project.

Again, the Secretariat conducts an internal review that follows the same format as the first round assessment. An important difference is that the process at this stage requires meeting with all project partners to finalize the pilot design and implementation details. The discussions focus on understanding the various roles and resource contributions of the various entities. The Programs team recommends any appropriate changes or additions to the pilot at this stage. Pilot proposals are then:

- I. Advanced to Working Group Review**
- II. Recommended for Engagement**
- III. Rejected with Ecosystem Action (see appendix A)**

Proposals that reach the second round of the application are automatically considered for ecosystem participation even in the event of rejection. Second round review meetings are held at the same time each week as those of the first rounds. A secondary application is mandatory for consideration by the Pilot Evaluation Working Group.

#### (D) Due Diligence

Concurrently, the Programs team conducts due diligence for the pilot including a systematic risk assessment. This process consists of performing background checks, interviewing project partners and reviewing previous projects. Any major red flags halt the proposal evaluation process, and trigger an in-depth review of the raised issue. The Programs team brings unresolved concerns about the pilot to the attention of the executive management of ID2020 - who make a decision on the proposal.

All findings from due diligence are then shared in the complete application with the Pilot Evaluation Working Group.

#### (E) Advisory Committee Review

Select pilot proposals are forwarded to each of ID2020's external Advisory Committees (Program Delivery, Ethics and Risks, Ecosystem and Advocacy, and Technical) for assessment based on all available application information. The comments and scores from the internal reviews are not viewable to reduce potential bias in the evaluation. Members of the advisory committees are encouraged to reach out to members of the Programs team to seek clarification or address concerns.

The advisory committees must unanimously approve the pilot to proceed to the final pilot approval by the ID2020 Board. At this point, the Secretariat must formally notify the Alliance Board. The advisory committees are strongly encouraged to make known any concerns, qualifications or recommendations.

Otherwise, the advisory committees can either **(I) outright reject the proposal**, or **(II) request amendments or additions to the proposal**. Any required changes would be subject to a second round internal review before being presented again to the advisory committees.

#### (F) Board Approval

All pilots proposals are then submitted for approval by the Alliance Board. Board members are given sufficient notice to raise any concerns with or ask any questions of the pilot project. After the review meeting, the Alliance Board decides to:

##### **I. Approve the Pilot**

The pilot is approved for launch provided it meets all remaining standard project requirements - including reporting obligations, legal agreements, or partner commitments.

## **II. Conditionally Approve the Pilot**

In addition to the above requirements, the pilot is approved if and when it meets the conditions stipulated by the Board. These criteria can concern intervention design, partner participation, or monitoring and evaluation operations. However, the Board may also make approval conditional on internal funding, project timelines, or other pilot results.

## **III. Reject the Pilot**

The pilot is considered ineligible to receive official organisational support. Project partners may still receive informal assistance on pilot design, monitoring and evaluation, or technical standards development.



## **Development Proposal**

In some cases, pilot proposals are developed in partnership with alliance members and their relevant project partners. This process is flexible to enable partners to efficiently engage stakeholders, select the digital identity technologies, design the intervention, and determine evaluation protocols.

As a facilitator, the Secretariat is expected to not be directly involved in every aspect of developing the pilot project. However, all developed pilot proposals are subject to the following modified assessment procedures to ensure that they meet organisational standards.

### **(A) Development Milestones**

The pilot partner(s) should provide a written update of major decisions on pilot design within a reasonable timeframe. Specifically, the Secretariat requires information on the following areas:

- Pilot Location & Target Population
- Digital Identity Technologies (Verification, Data Storage)
- Intervention Design (Entry Point, Social Services)
- New Partnerships

### **(B) Second Round Application & Review**

Once conceptually complete, the developed pilots are inserted into the second round application. The 'developed' pilot proposals are treated the same as the portal proposals at this point. See Section C in 'Portal Proposal' for greater detail on the assessment processes and criteria.

The Secretariat may continue to assist all pilots through advising on implementation and evaluation protocols.

### **(C) Working Group Review**

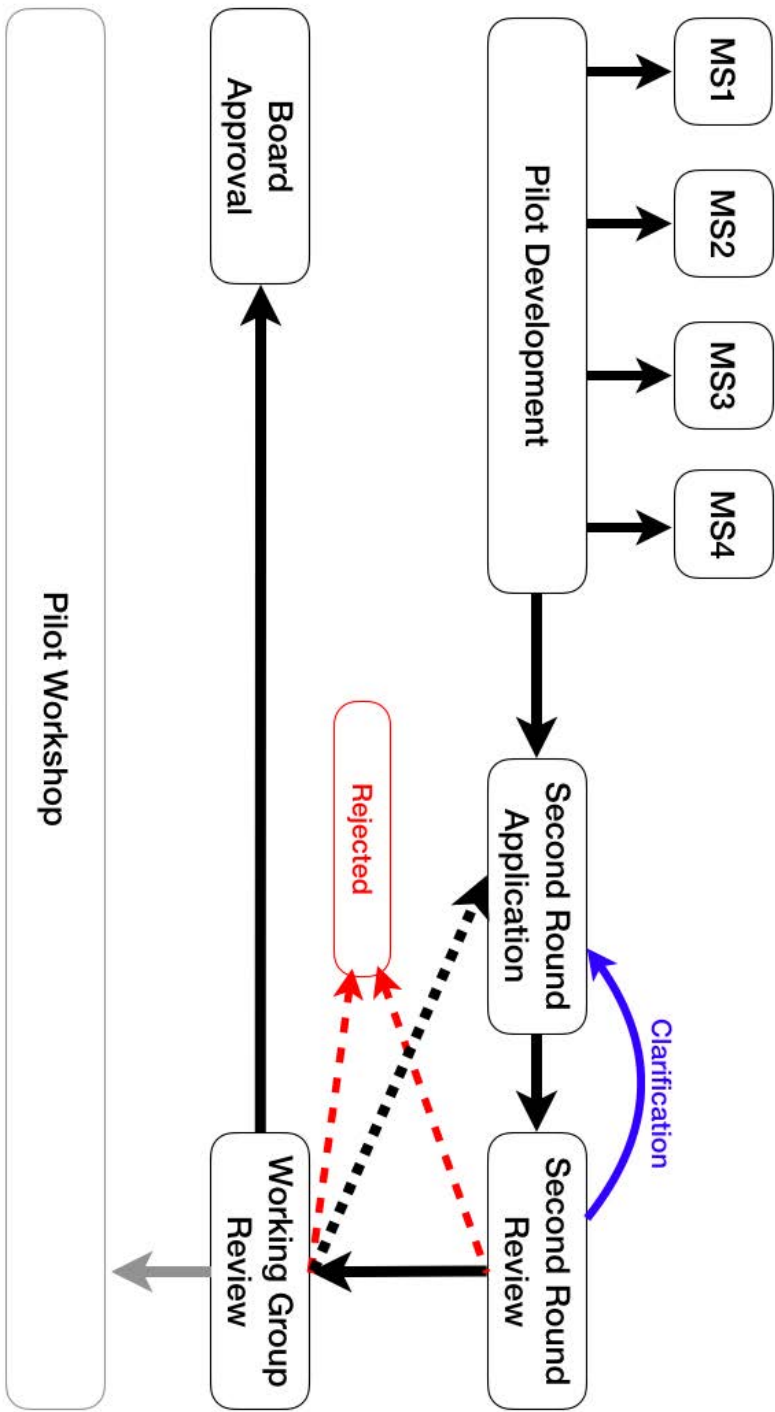
All pilots are then forwarded to an external working group for assessment as described in the previous section. This working group is instructed to treat all pilots equally regardless of the intake mechanism. Any member of the working group which is affiliated with any of the project partners will be excused from this review session.

See Section C in "Portal Proposal" for additional details on the working group assessment

(D) Board Approval

This process is identical to that for pilots through the portal - which can be found in Section (E) of 'Portal Proposal.'

# Pilot Process: Development Proposal



*\*MS = Milestone of the Development Process*



## **Appendix A: Pilot Engagement Pathways**

ID2020 rejects pilot project proposals that do not meet our evaluation criteria or do not adhere to our mission and values. However, in certain situations, ID2020 may identify the potential for further engagement with the organization. This engagement may take several forms, as outlined below.

### **(A) Applicable Technology**

Some proposals do not provide a comprehensive or viable pilot project, but offer a specific technical solution or component that could be applicable to future pilot projects. In these situations, ID2020's internal pilot evaluation team notifies the applicant of their application status and connect them to ID2020's Chief Architect to initiate an evaluation of potential technical involvement in a future project.

### **(B) Complementary with Existing Pilot**

Some proposals do not provide a comprehensive or viable pilot project, but offer a specific component that is directly applicable or fills a specific need for a pilot project in development. For example, a proposal may offer an applicable technical solution, unique expertise about a particular target population or location, etc. In these situations, ID2020's internal pilot evaluation team notifies the applicant of their application status and initiates the conversation for potential involvement in an ongoing pilot.

### **(C) Potential Alliance Member**

Select applicant organizations may be identified as desirable ID2020 Alliance members. In these situations, ID2020's internal pilot evaluation team notifies the applicant of their application status and connects them to ID2020's Ecosystem Manager to initiate the conversation to become an ID2020 member.

### **(D) Other**

Other forms of engagement may materialize throughout the pilot solicitation process and will be strategized by ID2020's internal pilot evaluation team as they arise.

# **ID2020 Pilot Proposal Application**

## **Primary application**

### **First page**

- Name
- HQ/location
- Type
- Focus area
- Size
- What is your level of organizational experience implementing or running pilot programs?
  - Elaborate on your organizational experience conducting pilot programs, including the following:
    - Intervention type
    - Pilot size
    - Project duration
    - Partners
    - Was your project successful? Why or why not?
    - Upload any relevant documentation (PDF only)
- What is your level of organizational experience within the digital identity space?
  - Elaborate on the nature of the work your organization does within this space?
- What is your target project location?
- What is your level of organizational experience in the target location?
- Elaborate on your organizational experience in the target location.
- What is your project's target population and size.
- What is your level of organizational experience with your project's target population?
- Elaborate on your organizational experience with your project's target population.

### **Project page / ID2020 alignment**

- Stage of development
- Focus area
- Provide a summary of your proposed use case for portable, user-centric digital identity including:
  - How your project will improve individual lives through portable, user-centric digital identity
  - How your proposed intervention would increase access to services for your target population
- How does your pilot project align with the mission and values of ID2020?
- Describe the core challenge this project seeks to address.
- Elaborate on your proposed case for your intervention to specifically address this challenge?

- Provide a detailed outline of your proposed project schedule.
- List all current and committed project partners and an explanation of each partner's role and responsibility
- What organizations or entities are required, but not yet committed? Explain why.
- Describe the key technical considerations for your project. If you have identified a technology or technologies to implement, please describe these technical components and their fit with these requirements.
- What resources (financial or in-kind) have been committed to your project.
- What are the resources (financial or in-kind) still required for implementation?
- What do you see as the primary risks (security, geographic, political, financial, legal, etc.) involved in your project, and how to do anticipate mitigating them?

## **Second Round Application**

### **Pilot Population:**

- Cluster Unit: *What is the cluster unit by which pilot recipients are chosen and reached for digital identities? Example: community, clinic, refugee camp, school zone.*
  - Cluster Unit #:
  - Cluster Unit Defining Characteristics: *Why were these specific implementation units chosen? The rationale can range from practical considerations to the demographic information of the beneficiaries. Example: Existing Infrastructure, Highest Concentration of Beneficiaries, Etc.*
  - Beneficiary # Per Implementation Unit: *Include the average beneficiary # if it differs across cluster units.*
- Total Beneficiary #:
  - Beneficiaries Defining Characteristics: *Will there be limitations on who will be given a digital identity? What are the requirements for participation in the pilot?*

### **Ethics & Risks:**

- Privacy Risks: *What are privacy risks to partial or complete data breach of digital identities - or of all accompanying database(s)?*
  - Privacy Risk Mitigation: *What measures are taken to reduce or eliminate privacy risks?*
- Implementation Risks: *What risks could arise from enrolling or servicing the beneficiaries? Example(s): Risks from gathering individuals in one location. Risks from social repercussions to the recipients.*
  - Implementation Risks Mitigation: *What measures are taken to reduce or eliminate these risks?*
- M&E Data Risks: *What are the risks from collecting data vital to assessing the effectiveness of the intervention? These include risks from the collection and the subsequent storage of that potentially sensitive information.*
  - M&E Data Risks Mitigation: *What measures are taken to reduce or eliminate these risks? Example: Strict data security to ensure that personally identifying information cannot be linked with sensitive information.*

**Pilot implementation plan:**

<https://drive.google.com/file/d/1ItPuVU10bMxuOnIMfSQqWjXYuQ1eO5pt/view?ts=5b170737>

**MEL matrix:**

<https://drive.google.com/file/d/1Mt39c6fFkVDtxpiVxiVbxeRXTpCuL7AC/view?ts=5b170737>

**Technical Evaluation:**

1. Provide a detailed technical implementation plan for your project and an overview of the solution architecture, including the following (note: we are looking specifically for alignment with our evaluation criteria):
  - a. What technologies are you proposing to use?
  - b. Does your project leverage existing / established technology or products or are you building your own solution?
  - c. If you are proposing a new technical solution, please provide an overview of its technical architecture including specific technology choices
  - d. Will you be using a single technology or combination of technologies?
2. Provide a data classification scheme, specifically including the following:
  - a. Detail around what data is being collected and where it will be stored
  - b. Data fields and associated risk level for each
  - c. If applicable, any relevant laws or standards your solution must comply with
3. Elaborate on the privacy and security features of your technical solution, including:
  - a. Access control
  - b. What measures are in place should data fall into the wrong hands?
4. Provide detail on the reliability of the technical infrastructure, including:
  - a. Is it adaptable to / readily available in rugged, low connectivity environments?
  - b. How will you operationalize this / what does that process look like?

## ID2020 Technical Requirements: V1.0

Please email [info@id2020.org](mailto:info@id2020.org) with any comments or questions - we'd love to hear from you.

	Requirement	Commentary
1	APPLICABILITY	
1.1	Must be useful in both physical, offline and online scenarios.	Must take into account of, but not limited to the following: <ol style="list-style-type: none"><li>1. Power may not be available to support identity transaction(s)</li><li>2. Wired or wireless data or cellular services may not be available to complete identity transaction(s)</li><li>3. Service requestor may not have a portable device</li><li>4. Service provider may have limited IT infrastructure</li></ol>
1.2	Must be resilient / usable in "rugged" environments.	Field equipment must be able to sustain long-term use in rugged environments for periods of time that exceed any pilot phase for example multiple years beyond implementation.
1.3	Must be cost effective across all aspects of the identity lifecycle.	Where the identity lifecycle is defined as: <ol style="list-style-type: none"><li>1. Identity Proofing</li><li>2. Issuance</li><li>3. Authentication</li><li>4. Authorization</li><li>5. ID management (including Recovery)</li></ol>
1.4	Must be easy for <b>end-users</b> to use throughout the identity lifecycle and require minimal user education	A human-centric design should be adopted.
1.5	Must be easy to implement by the Relying Party and have a clear explanation of cost as well as implications for the use of digital identity.	<p>The Relying Party should be able to easily implement due to open standards, open APIs, and commonly available skills (for example OIDC and OAuth).</p> <p>The cost of implementation should be clearly defined for a Relying Party as well as the level of trust that can be placed in assertions of identity based on either legal</p>

		or trust frameworks (for example).
1.6	Must be easy for <b>implementing agents</b> to use and to explain throughout the identity lifecycle	A human-centric design should be adopted.
2	IDENTIFICATION AND VERIFICATION	
2.1	Should be able to create a unique digital identity quickly and at low cost.	The identification process is inherently costly as it involves deduplication of the specified population in order to create a unique digital identity; in certain use cases uniqueness is not required.
2.2	Must support multiple forms of identification and proofing.	<p>Biometrics can be used alone or, in conjunction with other forms of identity claims where the user will be bound to the claim once authenticated.</p> <p>Refugees often have no identity documents and a percentage of those that do may not be possess legitimate documents.</p>
2.3	Must support manual override in case identity cannot be proven.	<p>There should be a framework to support manual override but this should not be part of the foundational technical system.</p> <p>An audit trail should be maintained where manual override is applied.</p>
2.4	Registration must be available offline as well as online.	Registration may be initiated offline by the user, but identity proofing will require connectivity for the registration or agent system. Similarly, credential issuance may be offline but reconciled when there is connectivity (e.g. may result in a credential revocation).
2.5	Should support the ability for the subject to create and use pseudonymous identity	Where possible, and permitted in the context of the of the identity system being implemented, the subject should have the ability to create and use pseudonymous identity.
2.6	A minimum client profile must be defined.	The client profile should observe the principle of data minimisation and ensure that a clear purpose is defined for each

		data item to be collected, processed and stored in order to identify the subject.
2.7	A failure mode should be included where the subject is not able to follow the normal procedure for identification.	For example, where identification would normally require fingerprints from both hands and the subject has previously suffered the loss of a hand. In this case failure mode procedures should be in place so that individuals are not excluded or disadvantaged unnecessarily.
3	AUTHENTICATION	
3.1	Must support multiple forms of pluggable authentication, including biometrics and cryptographic secrets	Authentication Assurance Level attributes should be available to the service provider (relying party).
3.2	Should support multiple “tokens” and smart phones / PCs	There should not be assumptions regarding the devices available to individuals with regards to authentication. Multiple methods of authentication should be available to ensure inclusivity.
3.3	Alternative methods of authentication in support of failure modes	Where the subject is unable to use the primary method of authentication (e.g. a biometric) an alternative authentication method should be provided of at least equivalent in strength to the primary method.
3.4	Authentication should be available offline.	Offline authentication should be possible but to check the validity of an identity may require an online validation check to an authoritative source. An identity token may require an online validation check or a check against a local copy of same.
4	PRIVACY AND CONTROL	
4.1	Must allow the user to have granular control over the sharing of personal data	Users should have the ability to allow or deny the sharing of personal data at the point of request, as a preference before request, or at a later point in time, by giving their informed consent.
4.2	Must allow users to have visibility and audit-ability of consent and accesses (i.e., sharing with 3 <sup>rd</sup> parties), and revocation of	Users should have the ability to view audit data regarding the use of their identity, especially when consent is revoked.

	consent	<p>Consent, visibility of consent / use / withdrawal of identity information, ability to revoke consent.</p> <p>Systems should actively alert the user when something [data] they have consented to is used for a derivative use.</p> <p>Consent receipts must be recoverable.</p>
4.3	Must allow custodianship / guardianship to be exercised for applicable persons.	<p>Must allow parents / legal guardians / caregivers to be able to take appropriate action on behalf of a minor / person being cared for.</p> <p>All parties must have registered identities within the system. The rules for how the relationship is established between the parties is out of scope for these requirements but would be supported technically by metadata within the identity system.</p>
4.4	Must have controls against the act by an adversary to access, delete, or modify the identity.	<p>Security controls must ensure the confidentiality and integrity of identity data, at rest or in transit, and processes put in place to protect the underlying identity system from unauthorised access or abuse. Baseline standards for data security such as ISO/IEC 27001 and the implementation of an information security management system, or equivalent, should be considered where appropriate.</p> <p>Users should be provided with an easy-to-use response mechanism.</p>
4.5	Processing, retention, and sharing of identity data shall be transparent to the subject except where legal provisions prevents it.	<p>Subjects should expect to be able to access information electronically when and how they want. This should include information regarding how, when and by who their identity data has been accessed.</p> <p>This should be inline with and respect the "transparency and access" principle."</p>



4.6	Privacy of the Subject must be protected throughout the identity lifecycle.	The principles of Privacy by Design and Data Minimisation should be observed as should the spirit of GDPR even if that Regulation is not enforced by law for a particular implementation. There should be a clear explanation of how the identity system being implemented will support GDPR (as a baseline).
4.7	PII should not be immutable and the rights of the user observed.	The Right to be Forgotten should be used liberally. PII should not be immutable in the context of the identity system being implemented.
4.8	Data accuracy should be a priority and users should be able to view and amend errors or make required updates.	Subject should be able to update erroneous, out of date, or poor quality data to reduce identity errors.
4.9	The sharing of data should be avoided where aggregate computations are sufficient.	Approve only insights that are aggregate computations on personal data, yielding aggregate answers that reduce or eliminate the possibility of re-identification of an individual through correlation of data.
5	ATTESTATIONS AND TRUST	
5.1	Must be able to store, and manage many attestations from governments and organizations	<p>Certificates kept local to subject</p> <p>Privacy model must be easy to understand by the user, relying party and trust provider (including independent auditors).</p> <p>Claims issuers and claims recipients can always keep a copy of the claims they issue</p>
5.2	Must be able to prove that attestations are genuine, untampered, pertains to the recipient and current status is active / not revoked	<p>System becomes a key distribution network to check attestations</p> <p>"Provable": not just verity of attestation, but the fact that it pertains to the recipient.</p>
5.3	Must be able to attest how the identity proofing was performed.	Metadata should be provided to identify not only how the proofing was performed but also the Identity Assurance Level attained as a consequence of that proofing process and subsequent issuance of credentials.

5.4	Must not require point to point trust agreements across parties	Complex legal frameworks should be avoided particularly where the user is the nexus between two or more parties. Equally data sharing agreements should not required where the subject is in control of the data.
5.5	Participation in Trust Frameworks	<p>There should be an overall trust framework to participate in the system and a governance model is required to codify access rights, consensus, identity resolution, etc.</p> <p>If such a framework is created, it must not impose mandatory participation for any of the basic functions of the system. Instead, it should be a set of standards/components parties can leverage to ascertain whether another entity or proof is valid within its context/rules.</p>
6	INTEROPERABILITY	
6.1	Where possible / practical should be implemented using open source software.	Open source software and open standards for implementation should be adopted where appropriate although it is recognised that in some cases this is not possible (e.g. biometric devices). As a minimum open standards should be adopted at the edge of solution components to ensure interoperability and avoid vendor lock-in.
6.2	Must support open APIs for access to data and integration with other identity system components / vendors.	Open APIs must be provided for all system components to ensure interoperability but also portability should components and/or vendors be replaced or Subjects require their data to be extracted and/or removed.
6.3	Each solution element used in implementing the Identity Lifecycle should be open standards based in order to minimize vendor lock-in	Barriers to vendor portability should be removed where possible as described in 6.1 and 6.2 above. "Can you fire your service provider", is a good litmus test for true vendor portability.
6.4	Must be able to export the data in a machine-readable form.	Data when exported, as referred to in 6.2, should itself be provided in an open

		standard machine-readable format enabling ease of import into a new system/component.
7	RECOVERY AND REDRESS	
7.1	Must support secure recovery if one or more identity attributes is / are compromised / lost	Providers of identity attributes (data regarding the Subject including keys) should provide tools and/or support for secure recovery should compromise and/or loss of data be experienced.
7.2	Must support redress if identity is compromised or is inaccurate	Rules outlining mechanisms for redress should be included in either national law or as part of any agreement between the Subject and any identity proofing entity should that entity be the cause of any data breach or identity theft.
7.3	Must provide at least one key custodian in a recovery scheme	Subjects should be able to rely on a trusted custodian to perform key recovery in the case of loss or compromise. It is recommended that at least one custodian exists for the identity system being implemented although at scale we would expect multiple custodians to exist.

**Contributors:**

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Form **990-EZ****Short Form**  
**Return of Organization Exempt From Income Tax**

OMB No. 1545-1150

**2016**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-EZ and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).Department of the Treasury  
Internal Revenue ServiceOpen to Public  
Inspection**A For the 2016 calendar year, or tax year beginning****and ending****B** Check if applicable:

- ☒ Address change
- ☐ Name change
- ☒ Initial return
- ☐ Final return/terminated
- ☐ Amended return
- ☐ Application pending

**C** Name of organization**IDENTITY 2020 SYSTEMS, INC.**

Number and street (or P.O. box, if mail is not delivered to street address)

**C/O WEWORK, 524 BROADWAY**

City or town, state or province, country, and ZIP or foreign postal code

**NEW YORK, NY 10012****D** Employer identification number**81-1089667****E** Telephone number**917-601-3964****F** Group Exemption Number ▶**G** Accounting Method: ☐ Cash ☒ Accrual Other (specify) ▶**I** Website: ▶ **HTTP://WWW.ID2020.ORG****J** Tax-exempt status (check only one) — ☒ 501(c)(3) ☐ 501(c) ( ) (insert no.) ☐ 4947(a)(1) or ☐ 527**H** Check ☐ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other**L** Add lines 5b, 6c, and 7b to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II, column (B) below) are \$500,000 or more, file Form 990 instead of Form 990-EZ ▶ \$ **185,150.****Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (see the instructions for Part I)Check if the organization used Schedule O to respond to any question in this Part I ☒

<b>Revenue</b>	<b>1</b>	Contributions, gifts, grants, and similar amounts received	<b>1</b>	<b>185,150.</b>
	<b>2</b>	Program service revenue including government fees and contracts	<b>2</b>	
	<b>3</b>	Membership dues and assessments	<b>3</b>	
	<b>4</b>	Investment income	<b>4</b>	
	<b>5a</b>	Gross amount from sale of assets other than inventory	<b>5a</b>	
	<b>5b</b>	Less: cost or other basis and sales expenses	<b>5b</b>	
	<b>5c</b>	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	<b>5c</b>	
	<b>6</b>	Gaming and fundraising events		
	<b>6a</b>	Gross income from gaming (attach Schedule G if greater than \$15,000)	<b>6a</b>	
	<b>6b</b>	Gross income from fundraising events (not including \$ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)	<b>6b</b>	
<b>6c</b>	Less: direct expenses from gaming and fundraising events	<b>6c</b>		
<b>6d</b>	Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)	<b>6d</b>		
<b>7a</b>	Gross sales of inventory, less returns and allowances	<b>7a</b>		
<b>7b</b>	Less: cost of goods sold	<b>7b</b>		
<b>7c</b>	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	<b>7c</b>		
<b>8</b>	Other revenue (describe in Schedule O)	<b>8</b>		
<b>9</b>	<b>Total revenue.</b> Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8 ▶	<b>9</b>	<b>185,150.</b>	
<b>Expenses</b>	<b>10</b>	Grants and similar amounts paid (list in Schedule O)	<b>10</b>	
	<b>11</b>	Benefits paid to or for members	<b>11</b>	
	<b>12</b>	Salaries, other compensation, and employee benefits	<b>12</b>	<b>1,751.</b>
	<b>13</b>	Professional fees and other payments to independent contractors	<b>13</b>	
	<b>14</b>	Occupancy, rent, utilities, and maintenance	<b>14</b>	<b>1,714.</b>
	<b>15</b>	Printing, publications, postage, and shipping	<b>15</b>	
	<b>16</b>	Other expenses (describe in Schedule O) <b>SEE SCHEDULE O</b>	<b>16</b>	<b>140,213.</b>
<b>17</b>	<b>Total expenses.</b> Add lines 10 through 16 ▶	<b>17</b>	<b>143,678.</b>	
<b>Net Assets</b>	<b>18</b>	Excess or (deficit) for the year (Subtract line 17 from line 9)	<b>18</b>	<b>41,472.</b>
	<b>19</b>	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	<b>19</b>	<b>0.</b>
	<b>20</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>20</b>	<b>0.</b>
	<b>21</b>	Net assets or fund balances at end of year. Combine lines 18 through 20 ▶	<b>21</b>	<b>41,472.</b>

**LHA** For Paperwork Reduction Act Notice, see the separate instructions.Form **990-EZ** (2016)

**Part II Balance Sheets** (see the instructions for Part II)Check if the organization used Schedule O to respond to any question in this Part II ☒

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	0.	22 45,137.
23 Land and buildings		23
24 Other assets (describe in Schedule O) SEE SCHEDULE O	0.	24 1,100.
25 Total assets	0.	25 46,237.
26 Total liabilities (describe in Schedule O) SEE SCHEDULE O	0.	26 4,765.
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	0.	27 41,472.

**Part III Statement of Program Service Accomplishments** (see the instructions for Part III)Check if the organization used Schedule O to respond to any question in this Part III ☒

What is the organization's primary exempt purpose? SEE SCHEDULE O

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

**Expenses**  
(Required for section 501(c)(3) and 501(c)(4) organizations; optional for others.)

## 28 SEE SCHEDULE O

(Grants \$ ) If this amount includes foreign grants, check here ☐ 28a 130,126.

29

(Grants \$ ) If this amount includes foreign grants, check here ☐ 29a

30

(Grants \$ ) If this amount includes foreign grants, check here ☐ 30a

31 Other program services (describe in Schedule O)

(Grants \$ ) If this amount includes foreign grants, check here ☐ 31a

32 Total program service expenses (add lines 28a through 31a)

32 130,126.

**Part IV List of Officers, Directors, Trustees, and Key Employees**

(list each one even if not compensated - see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV ☐

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (if not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
JOHN EDGE CHAIRMAN	5.00	0.	0.	0.
DAKOTA GRUENER EXEC DIR - JUNE 2016 - PRESENT	50.00	0.	1,751.	0.
CHIP DEMPSEY BOARD MEMBER	1.00	0.	0.	0.
OLIVER BUSSMAN BOARD MEMBER - NOV 2016 - PRESENT	1.00	0.	0.	0.
TARIQ MALIK BOARD MEMBER - DEC 2016 - PRESENT	1.00	0.	0.	0.
ELANA BROITMAN BOARD MEMBER - DEC 2016 - PRESENT	1.00	0.	0.	0.
SUSAN JOSEPH CONSULT/INT CEO & BOARD MEM TO 6/16	1.00	0.	0.	0.
MERCEDES FERNANDEZ BOARD MEMBER - TO NOV 2016	1.00	0.	0.	0.

**Part V Other Information** (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V) Check if the organization used Sch. O to respond to any question in this Part V ☒

	Yes	No
<b>33</b> Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O	<b>33</b>	<b>X</b>
<b>34</b> Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions)	<b>34</b>	<b>X</b>
<b>35a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?	<b>35a</b>	<b>X</b>
<b>b</b> If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O	<b>35b</b>	<b>N/A</b>
<b>c</b> Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III	<b>35c</b>	<b>X</b>
<b>36</b> Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	<b>36</b>	<b>X</b>
<b>37a</b> Enter amount of political expenditures, direct or indirect, as described in the instructions <b>37a</b> 0.		
<b>b</b> Did the organization file Form 1120-POL for this year?	<b>37b</b>	<b>X</b>
<b>38a</b> Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?	<b>38a</b>	<b>X</b>
<b>b</b> If "Yes," complete Schedule L, Part II and enter the total amount involved <b>38b</b> N/A		
<b>39</b> Section 501(c)(7) organizations. Enter:		
<b>a</b> Initiation fees and capital contributions included on line 9 <b>39a</b> N/A		
<b>b</b> Gross receipts, included on line 9, for public use of club facilities <b>39b</b> N/A		
<b>40a</b> Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 <b>0.</b> ; section 4912 <b>0.</b> ; section 4955 <b>0.</b>		
<b>b</b> Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	<b>40b</b>	<b>X</b>
<b>c</b> Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <b>0.</b>		
<b>d</b> Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization <b>0.</b>		
<b>e</b> All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	<b>40e</b>	<b>X</b>
<b>41</b> List the states with which a copy of this return is filed <b>NY</b>		
<b>42a</b> The organization's books are in care of <b>TRAVIS CAREY</b> Telephone no. <b>917-601-3964</b> Located at <b>C/O WEWORK, 524 BROADWAY, NEW YORK, NY</b> ZIP + 4 <b>10012</b>		
<b>b</b> At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country: See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).	<b>42b</b>	<b>X</b>
<b>c</b> At any time during the calendar year, did the organization maintain an office outside the United States? If "Yes," enter the name of the foreign country:	<b>42c</b>	<b>X</b>
<b>43</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year <b>43</b> N/A		
<b>44a</b> Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	<b>44a</b>	<b>X</b>
<b>b</b> Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	<b>44b</b>	<b>X</b>
<b>c</b> Did the organization receive any payments for indoor tanning services during the year?	<b>44c</b>	<b>X</b>
<b>d</b> If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	<b>44d</b>	
<b>45a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?	<b>45a</b>	<b>X</b>
<b>b</b> Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions)	<b>45b</b>	

**46** Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office?

If "Yes," complete Schedule C, Part I

	Yes	No
<b>46</b>		<b>X</b>

**Part VI Section 501(c)(3) organizations only**

All section 501(c)(3) organizations must answer questions 47-49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI

**47** Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Sch. C, Part II

	Yes	No
<b>47</b>		<b>X</b>

**48** Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E

<b>48</b>		<b>X</b>
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**49a** Did the organization make any transfers to an exempt non-charitable related organization?

<b>49a</b>		<b>X</b>
------------	--	----------

**b** If "Yes," was the related organization a section 527 organization?

<b>49b</b>		
------------	--	--

**50** Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees, and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and title of each employee	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
NONE				

**f** Total number of other employees paid over \$100,000

**51** Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and business address of each independent contractor	(b) Type of service	(c) Compensation

**d** Total number of other independent contractors each receiving over \$100,000

**52** Did the organization complete Schedule A? **Note:** All section 501(c)(3) organizations must attach a completed Schedule A

☒ Yes ☐ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer

Date

**DAKOTA GRUENER, EXECUTIVE DIRECTOR**

Type or print name and title

**Paid Preparer Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☒ if self-employed

PTIN

**PATRICK YU, CPA**

**P00675982**

Firm's name **BAKER TILLY VIRCHOW KRAUSE, LLP**

Firm's EIN **39-0859910**

Firm's address **ONE PENN PLAZA, SUITE 3000  
NEW YORK, NY 10119**

Phone no. **212.697.6900**

May the IRS discuss this return with the preparer shown above? See instructions

☒ Yes ☐ No

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Name of the organization

IDENTITY 2020 SYSTEMS, INC.

Employer identification number

81-1089667

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....					185,150.	185,150.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>4 Total.</b> Add lines 1 through 3 .....					185,150.	185,150.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						136,861.
<b>6 Public support.</b> Subtract line 5 from line 4.						48,289.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>7</b> Amounts from line 4 .....					185,150.	185,150.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on .....						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>11 Total support.</b> Add lines 7 through 10						185,150.
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> ..... ► <input checked="" type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f)) .....	<b>14</b>	%
<b>15</b> Public support percentage from 2015 Schedule A, Part II, line 14 .....	<b>15</b>	%
<b>16a 33 1/3% support test - 2016.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ..... ► <input type="checkbox"/>		
<b>b 33 1/3% support test - 2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ..... ► <input type="checkbox"/>		
<b>17a 10% -facts-and-circumstances test - 2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ..... ► <input type="checkbox"/>		
<b>b 10% -facts-and-circumstances test - 2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ..... ► <input type="checkbox"/>		
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ..... ► <input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>6 Total.</b> Add lines 1 through 5 .....						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
<b>c</b> Add lines 7a and 7b .....						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>9</b> Amounts from line 6 .....						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
<b>c</b> Add lines 10a and 10b .....						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)) .....	<b>15</b>	%
<b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15 .....	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f)) .....	<b>17</b>	%
<b>18</b> Investment income percentage from 2015 Schedule A, Part III, line 17 .....	<b>18</b>	%

**19a 33 1/3% support tests - 2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization .....

**b 33 1/3% support tests - 2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization .....

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions .....

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
<b>b Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
<b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
<b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>11a</b>		
<b>b</b> A family member of a person described in (a) above?		
<b>11b</b>		
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		
<b>11c</b>		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
<b>1</b>		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.</i>		
<b>2</b>		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
<b>1</b>		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>1</b>		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
<b>2</b>		
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
<b>3</b>		

**Section E. Type III Functionally Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
<b>2</b> Activities Test. Answer (a) and (b) below.			
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>	Yes	No	
<b>2a</b>			
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>			
<b>2b</b>			
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.			
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>			
<b>3a</b>			
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>			
<b>3b</b>			

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Net short-term capital gain	<b>1</b>		
<b>2</b> Recoveries of prior-year distributions	<b>2</b>		
<b>3</b> Other gross income (see instructions)	<b>3</b>		
<b>4</b> Add lines 1 through 3	<b>4</b>		
<b>5</b> Depreciation and depletion	<b>5</b>		
<b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>		
<b>7</b> Other expenses (see instructions)	<b>7</b>		
<b>8 Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	<b>8</b>		

<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
<b>a</b> Average monthly value of securities	<b>1a</b>		
<b>b</b> Average monthly cash balances	<b>1b</b>		
<b>c</b> Fair market value of other non-exempt-use assets	<b>1c</b>		
<b>d Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>		
<b>e Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> ):			
<b>2</b> Acquisition indebtedness applicable to non-exempt-use assets	<b>2</b>		
<b>3</b> Subtract line 2 from line 1d	<b>3</b>		
<b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>		
<b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>		
<b>6</b> Multiply line 5 by .035	<b>6</b>		
<b>7</b> Recoveries of prior-year distributions	<b>7</b>		
<b>8 Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>		

<b>Section C - Distributable Amount</b>			Current Year
<b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>		
<b>2</b> Enter 85% of line 1	<b>2</b>		
<b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>		
<b>4</b> Enter greater of line 2 or line 3	<b>4</b>		
<b>5</b> Income tax imposed in prior year	<b>5</b>		
<b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	<b>6</b>		
<b>7</b> <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).			

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

<b>Section D - Distributions</b>	<b>Current Year</b>
<b>1</b> Amounts paid to supported organizations to accomplish exempt purposes	
<b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
<b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations	
<b>4</b> Amounts paid to acquire exempt-use assets	
<b>5</b> Qualified set-aside amounts (prior IRS approval required)	
<b>6</b> Other distributions (describe in <b>Part VI</b> ). See instructions	
<b>7 Total annual distributions.</b> Add lines 1 through 6	
<b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ). See instructions	
<b>9</b> Distributable amount for 2016 from Section C, line 6	
<b>10</b> Line 8 amount divided by Line 9 amount	

<b>Section E - Distribution Allocations (see instructions)</b>	<b>(i) Excess Distributions</b>	<b>(ii) Underdistributions Pre-2016</b>	<b>(iii) Distributable Amount for 2016</b>
<b>1</b> Distributable amount for 2016 from Section C, line 6			
<b>2</b> Underdistributions, if any, for years prior to 2016 (reasonable cause required- explain in Part VI). See instructions			
<b>3</b> Excess distributions carryover, if any, to 2016:			
<b>a</b>			
<b>b</b>			
<b>c</b> From 2013			
<b>d</b> From 2014			
<b>e</b> From 2015			
<b>f Total</b> of lines 3a through e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2016 distributable amount			
<b>i</b> Carryover from 2011 not applied (see instructions)			
<b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
<b>4</b> Distributions for 2016 from Section D, line 7: \$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2016 distributable amount			
<b>c</b> Remainder. Subtract lines 4a and 4b from 4			
<b>5</b> Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions			
<b>6</b> Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions			
<b>7 Excess distributions carryover to 2017.</b> Add lines 3j and 4c			
<b>8</b> Breakdown of line 7:			
<b>a</b>			
<b>b</b> Excess from 2013			
<b>c</b> Excess from 2014			
<b>d</b> Excess from 2015			
<b>e</b> Excess from 2016			

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Open to Public  
Inspection

Name of the organization

IDENTITY 2020 SYSTEMS, INC.

Employer identification number

81-1089667

**FORM 990-EZ, PART I, LINE 16, OTHER EXPENSES:**

DESCRIPTION OF OTHER EXPENSES:	AMOUNT:
PRODUCTION OF MEDIA CONTENT	9,160.
ADVERTISING & MARKETING	9,180.
COMPUTER/SOFTWARE	916.
CONFERENCE/CONVENTION	83,908.
EQUIPMENT RENTAL	4,140.
FILMING EXPENSES	15,000.
INSURANCE	2,492.
MEALS AND ENTERTAINMENT	177.
MEETINGS & TRAVELING	12,127.
OFFICE EXPENSES	1,454.
PHOTOGRAPHY/IMAGES	153.
RECRUITING	320.
SUMMIT EXPENSES	732.
WEBSITE HOLDING	269.
MISCELLANEOUS	185.
<b>TOTAL TO FORM 990-EZ, LINE 16</b>	<b>140,213.</b>

**FORM 990-EZ, PART II, LINE 24, OTHER ASSETS:**

DESCRIPTION	BEG. OF YEAR	END OF YEAR
SECURITY DEPOSITS	0.	1,100.

**FORM 990-EZ, PART II, LINE 26, OTHER LIABILITIES:**

DESCRIPTION	BEG. OF YEAR	END OF YEAR
ACCOUNTS PAYABLE	0.	4,765.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2016)



**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

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**2016**

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Name of the organization

IDENTITY 2020 SYSTEMS, INC.

Employer identification number

81-1089667

FORM 990-EZ, PART III, PRIMARY EXEMPT PURPOSE - THE MISSION OF  
IDENTITY2020 SYSTEMS INC. ("ID2020") IS TO AID IN THE DEVELOPMENT OF  
IDENTITY TECHNOLOGY AND SUPPORT FIELD-BASED PROGRAMS FOR THE DELIVERY  
OF DIGITAL IDENTITY, WITH THE GOAL OF PROVIDING UNIVERSAL ACCESS TO  
IDENTITY TOOLS THAT PROTECT AND EMPOWER VULNERABLE AND FINANCIALLY  
EXCLUDED POPULATIONS.

FORM 990-EZ, PART III, LINE 28, PROGRAM SERVICE ACCOMPLISHMENTS:  
IN DEVELOPING DIRECT PROGRAMMING RELATING TO ITS MISSION,  
ID2020'S WORK WILL FOCUS ON TWO KEY AREAS: TECHNOLOGY  
DEVELOPMENT AND DELIVERY. PROOF OF CONCEPT WORK AND AGILE  
ITERATION ON BOTH FRONTS IS NECESSARY TO SCALE UP THE SOLUTION TO  
ENSURE THAT THE WORLD MEETS TARGET 16.9 OF THE UN SUSTAINABLE  
DEVELOPMENT GOALS.

ON THE TECHNOLOGY SIDE, ID2020 IS LEADING AN EFFORT TO DEVELOP THE  
STANDARDS AND SPECIFICATIONS FOR THE "BACK-END" IDENTITY EXCHANGE LAYER  
NEEDED FOR A GLOBALLY USEFUL DIGITAL IDENTITY SYSTEM. THE SYSTEM'S API  
WILL ALLOW INDIVIDUALS, GOVERNMENTS, COMMERCIAL ENTITIES AND OTHER  
INSTITUTIONS TO DEVELOP ADDITIONAL SERVICES ON TOP OF THE COMMON  
FOUNDATION.

ON THE DELIVERY SIDE, ID2020 WILL SUPPORT THROUGH GRANTS, EDUCATION,  
AND STAFF ASSISTANCE THE IMPLEMENTING AGENCIES AND COUNTRIES IN THEIR  
ROLL-OUTS OF DIGITAL IDENTITY PILOT PROJECTS. THESE PILOTS WILL ASSESS  
THE COST, HUMAN RESOURCE REQUIREMENTS, OPPORTUNITIES AND PITFALLS  
ASSOCIATED WITH VARIOUS PATHWAYS FOR ENROLLMENT AND PARTICIPATION.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Open to Public  
Inspection

Name of the organization

IDENTITY 2020 SYSTEMS, INC.

Employer identification number

81-1089667

FORM 990-EZ, PART V, INFORMATION REGARDING PERSONAL BENEFIT CONTRACTS:

THE ORGANIZATION DID NOT, DURING THE YEAR, RECEIVE ANY FUNDS, DIRECTLY,  
OR INDIRECTLY, TO PAY PREMIUMS ON A PERSONAL BENEFIT CONTRACT.

THE ORGANIZATION, DID NOT, DURING THE YEAR, PAY ANY PREMIUMS, DIRECTLY,  
OR INDIRECTLY, ON A PERSONAL BENEFIT CONTRACT.

EXTENDED TO NOV 15, 2018

Form **990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**Open to Public  
Inspection**A For the 2017 calendar year, or tax year beginning and ending****B** Check if applicable:

- ☒ Address change  
☐ Name change  
☐ Initial return  
☐ Final return/terminated  
☐ Amended return  
☐ Application pending

**C** Name of organization

IDENTITY2020 SYSTEMS INC.

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

611 BROADWAY

Room/suite

613B

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10012

**F** Name and address of principal officer: DAKOTA GRUENER

SAME AS C ABOVE

**D** Employer identification number

81-1089667

**E** Telephone number

510-289-3261

**G** Gross receipts \$

1,460,550.

**H(a)** Is this a group returnfor subordinates? ..... ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ WWW.ID2020.ORG**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: 2015**M** State of legal domicile: DE**Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: <b>AN ALLIANCE COMMITTED TO IMPROVING LIVES THROUGH DIGITAL IDENTITY.</b>				
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.				
	3	Number of voting members of the governing body (Part VI, line 1a)	3	6		
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	6		
	5	Total number of individuals employed in calendar year 2017 (Part V, line 2a)	5	2		
	6	Total number of volunteers (estimate if necessary)	6	15		
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.		
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.			
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	0.	Current Year	1,460,550.
	9	Program service revenue (Part VIII, line 2g)	0.	0.		
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0.	0.		
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.	0.		
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0.	1,460,550.		
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.		
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	189,091.		
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.		
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 33,907.				
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	0.	253,866.		
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	0.	442,957.		
19	Revenue less expenses. Subtract line 18 from line 12	0.	1,017,593.			
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	46,237.	End of Year	1,108,124.
	21	Total liabilities (Part X, line 26)	4,765.	49,059.		
	22	Net assets or fund balances. Subtract line 21 from line 20	41,472.	1,059,065.		

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date			
	DAKOTA GRUENER, EXECUTIVE DIRECTOR Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name MICHAEL LUMSDEN	Preparer's signature MICHAEL LUMSDEN	Date 11/05/18	Check if self-employed <input type="checkbox"/>	PTIN P01262236
	Firm's name ▶ MOSS ADAMS LLP	Firm's EIN ▶ 91-0189318			
	Firm's address ▶ 101 SECOND STREET SUITE 900 SAN FRANCISCO, CA 94105			Phone no. 415-956-1500	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:**AN ALLIANCE COMMITTED TO IMPROVING LIVES THROUGH DIGITAL IDENTITY.****2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ **343,287.** including grants of \$ **0.** ) (Revenue \$ **0.** )**IN DEVELOPING DIRECT PROGRAMMING RELATED TO ITS MISSION, ID2020'S WORK FOCUSES ON TWO KEY AREAS: (I) DEVELOPMENT AND ADOPTION OF TECHNICAL STANDARDS FOR PRIVACY-PROTECTING, DECENTRALIZED DIGITAL IDENTITY AND (II) FUNDING AND IMPLEMENTATION OF HIGH-IMPACT PILOT PROGRAMS.****THE ORGANIZATION IS STILL RAMPING UP OPERATIONS. HOWEVER, ON JUNE 19TH, 2017, APPROXIMATELY 300 PEOPLE GATHERED AT THE UNITED NATIONS FOR THE SECOND ANNUAL ID2020 SUMMIT AND THE LAUNCH OF THE ID2020 ALLIANCE. ID2020'S ALLIANCE MODEL BRINGS TOGETHER GOVERNMENTS, NGOS, AND THE PRIVATE SECTOR ON BOTH FRONTS, ENSURING THAT TECHNOLOGY DEVELOPMENT IS INFORMED BY THE NEEDS OF COUNTRIES AND INDIVIDUALS AND THAT POLICIES AND STANDARDS REFLECT THE LATEST TECHNOLOGICAL INNOVATIONS. BY****4b** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )**4c** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )**4d** Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

**4e** Total program service expenses **343,287.**Form **990** (2017)

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	<b>1</b> X	
<b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	<b>2</b> X	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	<b>3</b>	X
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	<b>4</b>	X
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	<b>5</b>	X
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	<b>6</b>	X
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	<b>7</b>	X
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	<b>8</b>	X
<b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	<b>9</b>	X
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	<b>10</b>	X
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	<b>11a</b>	X
<b>b</b> Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	<b>11b</b>	X
<b>c</b> Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	<b>11c</b>	X
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	<b>11d</b>	X
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	<b>11e</b>	X
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	<b>11f</b> X	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	<b>12a</b> X	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	<b>12b</b>	X
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	<b>13</b>	X
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?	<b>14a</b>	X
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	<b>14b</b>	X
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	<b>15</b>	X
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	<b>16</b>	X
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	<b>17</b>	X
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	<b>18</b>	X
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	<b>19</b>	X

Form 990 (2017)

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> .....		<b>X</b>
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .....		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> .....		<b>X</b>
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> .....		<b>X</b>
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> .....		<b>X</b>
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> .....		<b>X</b>
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> .....		<b>X</b>
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> .....		<b>X</b>
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i> .....		<b>X</b>
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> .....		<b>X</b>
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		<b>X</b>
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		<b>X</b>
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> .....		<b>X</b>
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....		<b>X</b>
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....		<b>X</b>
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....		<b>X</b>
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....		<b>X</b>
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....		<b>X</b>
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....		<b>X</b>
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....		<b>X</b>
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		<b>X</b>
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....		<b>X</b>
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O .....	<b>X</b>	

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**Part V** Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
<b>1b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O		
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
<b>b</b>	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
<b>c</b>	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year		
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>		
<b>a</b>	Did the sponsoring organization make any taxable distributions under section 4966?		
<b>b</b>	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter:		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12		
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter:		
<b>a</b>	Gross income from members or shareholders		
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?		
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.		
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
<b>c</b>	Enter the amount of reserves on hand		
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?		X
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

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**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒ X

**Section A. Governing Body and Management**

	1a	1b	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year	6													
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.														
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent		6												
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			2											X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?				3										X
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?					4									X
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?						5								X
<b>6</b> Did the organization have members or stockholders?							6							X
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?								7a						X
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?									7b					X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:														
<b>a</b> The governing body?										8a			X	
<b>b</b> Each committee with authority to act on behalf of the governing body?											8b		X	
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O												9		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	11b	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates?	10a														X
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		10b													
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?			11a											X	
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.															
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13					12a									X	
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?						12b								X	
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done							12c							X	
<b>13</b> Did the organization have a written whistleblower policy?								13						X	
<b>14</b> Did the organization have a written document retention and destruction policy?									14					X	
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?															
<b>a</b> The organization's CEO, Executive Director, or top management official										15a				X	
<b>b</b> Other officers or key employees of the organization											15b				X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).															
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?												16a			X
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?													16b		

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed **CA, NY**

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

**19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

**20** State the name, address, and telephone number of the person who possesses the organization's books and records: **DAKOTA GRUENER - 510-289-3261**  
**611 BROADWAY, SUITE 613B, NEW YORK, NY 10012**





**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
<b>1b Sub-total</b>								146,589.	0.	3,222.
<b>c Total from continuation sheets to Part VII, Section A</b>								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b>								146,589.	0.	3,222.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1**

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? *If "Yes," complete Schedule J for such individual*
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*

	Yes	No
<b>3</b>		X
<b>4</b>		X
<b>5</b>		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

**Part VIII Statement of Revenue**Check if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514		
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns .....	<b>1a</b>							
	<b>b</b> Membership dues .....	<b>1b</b>							
	<b>c</b> Fundraising events .....	<b>1c</b>							
	<b>d</b> Related organizations .....	<b>1d</b>							
	<b>e</b> Government grants (contributions) .....	<b>1e</b>							
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above .....	<b>1f</b>	1,460,550.						
	<b>g</b> Noncash contributions included in lines 1a-1f: \$ .....								
	<b>h Total.</b> Add lines 1a-1f .....	▶			1,460,550.				
<b>Program Service Revenue</b>	<b>2 a</b> .....			<b>Business Code</b>					
	<b>b</b> .....								
	<b>c</b> .....								
	<b>d</b> .....								
	<b>e</b> .....								
	<b>f</b> All other program service revenue .....								
	<b>g Total.</b> Add lines 2a-2f .....			▶					
	<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) .....			▶				
<b>4</b> Income from investment of tax-exempt bond proceeds .....			▶						
<b>5</b> Royalties .....			▶						
		(i) Real	(ii) Personal						
<b>6 a</b> Gross rents .....									
<b>b</b> Less: rental expenses .....									
<b>c</b> Rental income or (loss) .....									
<b>d</b> Net rental income or (loss) .....		▶							
<b>7 a</b> Gross amount from sales of assets other than inventory .....		(i) Securities	(ii) Other						
<b>b</b> Less: cost or other basis and sales expenses .....									
<b>c</b> Gain or (loss) .....									
<b>d</b> Net gain or (loss) .....		▶							
<b>8 a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 .....		<b>a</b>							
<b>b</b> Less: direct expenses .....		<b>b</b>							
<b>c</b> Net income or (loss) from fundraising events .....		▶							
<b>9 a</b> Gross income from gaming activities. See Part IV, line 19 .....		<b>a</b>							
<b>b</b> Less: direct expenses .....		<b>b</b>							
<b>c</b> Net income or (loss) from gaming activities .....		▶							
<b>10 a</b> Gross sales of inventory, less returns and allowances .....		<b>a</b>							
<b>b</b> Less: cost of goods sold .....		<b>b</b>							
<b>c</b> Net income or (loss) from sales of inventory .....		▶							
<b>Miscellaneous Revenue</b>			<b>Business Code</b>						
<b>11 a</b> .....									
<b>b</b> .....									
<b>c</b> .....									
<b>d</b> All other revenue .....									
<b>e Total.</b> Add lines 11a-11d .....			▶						
<b>12 Total revenue.</b> See instructions. ....			▶			1,460,550.	0.	0.	0.

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 ...				
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22 .....				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 .....				
<b>4</b> Benefits paid to or for members .....				
<b>5</b> Compensation of current officers, directors, trustees, and key employees .....	150,377.	120,396.	4,515.	25,466.
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) .....				
<b>7</b> Other salaries and wages .....	25,432.	25,432.		
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
<b>9</b> Other employee benefits .....	972.	806.	25.	141.
<b>10</b> Payroll taxes .....	12,310.	10,212.	315.	1,783.
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management .....				
<b>b</b> Legal .....				
<b>c</b> Accounting .....	10,200.		10,200.	
<b>d</b> Lobbying .....				
<b>e</b> Professional fundraising services. See Part IV, line 17				
<b>f</b> Investment management fees .....				
<b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	15,000.	15,000.		
<b>12</b> Advertising and promotion .....	1,520.		145.	1,375.
<b>13</b> Office expenses .....	10,094.	4,001.	3,487.	2,606.
<b>14</b> Information technology .....	4,220.	56.	4,164.	
<b>15</b> Royalties .....				
<b>16</b> Occupancy .....	38,940.		38,940.	
<b>17</b> Travel .....	37,177.	34,437.	204.	2,536.
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials				
<b>19</b> Conferences, conventions, and meetings .....	5,478.	5,478.		
<b>20</b> Interest .....				
<b>21</b> Payments to affiliates .....				
<b>22</b> Depreciation, depletion, and amortization .....				
<b>23</b> Insurance .....	1,590.		1,590.	
<b>24</b> Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> <b>SUMMIT EXPENSE</b>	127,469.	127,469.		
<b>b</b> <b>RECRUITING</b>	2,178.		2,178.	
<b>c</b> _____				
<b>d</b> _____				
<b>e</b> All other expenses _____				
<b>25</b> <b>Total functional expenses.</b> Add lines 1 through 24e	442,957.	343,287.	65,763.	33,907.
<b>26</b> <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....	45,137.	<b>1</b>	1,044,722.
	<b>2</b> Savings and temporary cash investments .....		<b>2</b>	
	<b>3</b> Pledges and grants receivable, net .....	0.	<b>3</b>	53,650.
	<b>4</b> Accounts receivable, net .....		<b>4</b>	
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		<b>6</b>	
	<b>7</b> Notes and loans receivable, net .....		<b>7</b>	
	<b>8</b> Inventories for sale or use .....		<b>8</b>	
	<b>9</b> Prepaid expenses and deferred charges .....		<b>9</b>	1,752.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	<b>10a</b>		
	<b>b</b> Less: accumulated depreciation .....	<b>10b</b>	<b>10c</b>	
	<b>11</b> Investments - publicly traded securities .....		<b>11</b>	
	<b>12</b> Investments - other securities. See Part IV, line 11 .....		<b>12</b>	
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		<b>13</b>	
	<b>14</b> Intangible assets .....		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11 .....	1,100.	<b>15</b>	8,000.
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	46,237.	<b>16</b>	1,108,124.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....	4,765.	<b>17</b>	49,059.
	<b>18</b> Grants payable .....		<b>18</b>	
	<b>19</b> Deferred revenue .....		<b>19</b>	
	<b>20</b> Tax-exempt bond liabilities .....		<b>20</b>	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		<b>21</b>	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....		<b>25</b>	
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 .....	4,765.	<b>26</b>	49,059.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets .....	41,472.	<b>27</b>	1,059,065.
	<b>28</b> Temporarily restricted net assets .....		<b>28</b>	
	<b>29</b> Permanently restricted net assets .....		<b>29</b>	
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds .....		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....		<b>32</b>	
	<b>33</b> <b>Total net assets or fund balances</b> .....	41,472.	<b>33</b>	1,059,065.
<b>34</b> <b>Total liabilities and net assets/fund balances</b> .....	46,237.	<b>34</b>	1,108,124.	

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**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	1,460,550.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	442,957.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	1,017,593.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	41,472.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	0.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	1,059,065.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
<b>1</b> Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
<b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<b>2a</b>	<b>X</b>
<b>b</b> Were the organization's financial statements audited by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<b>2b</b>	<b>X</b>
<b>c</b> If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____ If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	<b>2c</b>	<b>X</b>
<b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? _____	<b>3a</b>	<b>X</b>
<b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits _____	<b>3b</b>	

Form 990 (2017)



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....				185,150.	1460550.	1645700.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>4 Total.</b> Add lines 1 through 3 .....				185,150.	1460550.	1645700.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						1415344.
<b>6 Public support.</b> Subtract line 5 from line 4.						230,356.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>7</b> Amounts from line 4 .....				185,150.	1460550.	1645700.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources .....						
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on .....						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>11 Total support.</b> Add lines 7 through 10						1645700.
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input checked="" type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f)) .....	<b>14</b>	%
<b>15</b> Public support percentage from 2016 Schedule A, Part II, line 14 .....	<b>15</b>	%
<b>16a 33 1/3% support test - 2017.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		
<b>b 33 1/3% support test - 2016.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		
<b>17a 10% -facts-and-circumstances test - 2017.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		
<b>b 10% -facts-and-circumstances test - 2016.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....		

Schedule A (Form 990 or 990-EZ) 2017



**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>6 Total.</b> Add lines 1 through 5 .....						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
<b>c</b> Add lines 7a and 7b .....						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>9</b> Amounts from line 6 .....						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources .....						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
<b>c</b> Add lines 10a and 10b .....						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ..... ☐

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f)) .....	<b>15</b>	%
<b>16</b> Public support percentage from 2016 Schedule A, Part III, line 15 .....	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2017 (line 10c, column (f) divided by line 13, column (f)) .....	<b>17</b>	%
<b>18</b> Investment income percentage from 2016 Schedule A, Part III, line 17 .....	<b>18</b>	%

**19a 33 1/3% support tests - 2017.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ..... ☐

**b 33 1/3% support tests - 2016.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ..... ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ..... ☐

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
<b>b</b> <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c</b> <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
<b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
<b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV** Supporting Organizations (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>11a</b>		
<b>b</b> A family member of a person described in (a) above?		
<b>11b</b>		
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI</b> .		
<b>11c</b>		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
<b>1</b>		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		
<b>2</b>		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
<b>1</b>		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>1</b>		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).		
<b>2</b>		
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.		
<b>3</b>		

**Section E. Type III Functionally Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.			
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.			
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see instructions).			
<b>2</b> Activities Test. Answer (a) and (b) below.			
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI</b> identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.			
<b>2a</b>			
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
<b>2b</b>			
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.			
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in <b>Part VI</b> .			
<b>3a</b>			
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.			
<b>3b</b>			

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> ):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

Schedule A (Form 990 or 990-EZ) 2017

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

<b>Section D - Distributions</b>	<b>Current Year</b>
<b>1</b> Amounts paid to supported organizations to accomplish exempt purposes	
<b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
<b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations	
<b>4</b> Amounts paid to acquire exempt-use assets	
<b>5</b> Qualified set-aside amounts (prior IRS approval required)	
<b>6</b> Other distributions (describe in <b>Part VI</b> ). See instructions.	
<b>7 Total annual distributions.</b> Add lines 1 through 6.	
<b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ). See instructions.	
<b>9</b> Distributable amount for 2017 from Section C, line 6	
<b>10</b> Line 8 amount divided by line 9 amount	

<b>Section E - Distribution Allocations (see instructions)</b>	<b>(i) Excess Distributions</b>	<b>(ii) Underdistributions Pre-2017</b>	<b>(iii) Distributable Amount for 2017</b>
<b>1</b> Distributable amount for 2017 from Section C, line 6			
<b>2</b> Underdistributions, if any, for years prior to 2017 (reasonable cause required- explain in <b>Part VI</b> ). See instructions.			
<b>3</b> Excess distributions carryover, if any, to 2017			
<b>a</b>			
<b>b</b> From 2013			
<b>c</b> From 2014			
<b>d</b> From 2015			
<b>e</b> From 2016			
<b>f Total</b> of lines 3a through e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2017 distributable amount			
<b>i</b> Carryover from 2012 not applied (see instructions)			
<b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
<b>4</b> Distributions for 2017 from Section D, line 7: \$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2017 distributable amount			
<b>c</b> Remainder. Subtract lines 4a and 4b from 4.			
<b>5</b> Remaining underdistributions for years prior to 2017, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in <b>Part VI</b> . See instructions.			
<b>6</b> Remaining underdistributions for 2017. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in <b>Part VI</b> . See instructions.			
<b>7 Excess distributions carryover to 2018.</b> Add lines 3j and 4c.			
<b>8</b> Breakdown of line 7:			
<b>a</b> Excess from 2013			
<b>b</b> Excess from 2014			
<b>c</b> Excess from 2015			
<b>d</b> Excess from 2016			
<b>e</b> Excess from 2017			

Schedule A (Form 990 or 990-EZ) 2017

## Part VI

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

# Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

# Schedule of Contributors

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

# 2017

Name of the organization

IDENTITY2020 SYSTEMS INC.

Employer identification number

81-1089667

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)( 3 ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

## General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

## Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization	Employer identification number
IDENTITY2020 SYSTEMS INC.	81-1089667

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>		\$ <u>1,040,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>2</u>		\$ <u>275,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>3</u>		\$ <u>75,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<u>4</u>		\$ <u>67,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization	Employer identification number
IDENTITY2020 SYSTEMS INC.	81-1089667

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
<b>IDENTITY2020 SYSTEMS INC.</b>	<b>81-1089667</b>

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**SCHEDULE D**  
**(Form 990)**Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**▶ **Complete if the organization answered "Yes" on Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**  
▶ **Attach to Form 990.**▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

OMB No. 1545-0047

**2017****Open to Public  
Inspection****Name of the organization**

IDENTITY2020 SYSTEMS INC.

**Employer identification number**

81-1089667

**Part I****Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the  
organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate value of contributions to (during year) .....		
3 Aggregate value of grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part II****Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).  
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of a historically important land area  
☐ Protection of natural habitat ☐ Preservation of a certified historic structure  
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last  
day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax  
year ▶ .....

4 Number of states where property subject to conservation easement is located ▶ .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of  
violations, and enforcement of the conservation easements it holds? .....

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year  
▶ .....

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year  
▶ \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i)  
and section 170(h)(4)(B)(ii)? .....

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and  
include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for  
conservation easements.

**Part III****Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art,  
historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII,  
the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical  
treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts  
relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 .....

(ii) Assets included in Form 990, Part X .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide  
the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 .....

b Assets included in Form 990, Part X .....

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2017

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other \_\_\_\_\_

c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ☐ %

b Permanent endowment ☐ %

c Temporarily restricted endowment ☐ %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other				

**Total.** Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) ☐ 0.

Schedule D (Form 990) 2017

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A) .....		
(B) .....		
(C) .....		
(D) .....		
(E) .....		
(F) .....		
(G) .....		
(H) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) .....		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) .....	
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶		

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2017

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements .....	<b>1</b>	1,460,550.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains (losses) on investments .....	<b>2a</b>	
<b>b</b>	Donated services and use of facilities .....	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants .....	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.) .....	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> .....	<b>2e</b>	0.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> .....	<b>3</b>	1,460,550.
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b .....	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.) .....	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> .....	<b>4c</b>	0.
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.) .....	<b>5</b>	1,460,550.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements .....	<b>1</b>	442,957.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities .....	<b>2a</b>	
<b>b</b>	Prior year adjustments .....	<b>2b</b>	
<b>c</b>	Other losses .....	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.) .....	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> .....	<b>2e</b>	0.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> .....	<b>3</b>	442,957.
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b .....	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.) .....	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> .....	<b>4c</b>	0.
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.) .....	<b>5</b>	442,957.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART X, LINE 2:**

THE ORGANIZATION IS CONSIDERED A PUBLIC CHARITY AND IS EXEMPT FROM FEDERAL INCOME TAX UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE. THE ORGANIZATION IS EXEMPT FROM STATE TAX UNDER STATE OF NEW YORK REVENUE AND TAXATION CODE, WHEREBY ONLY UNRELATED BUSINESS INCOME IS SUBJECT TO FEDERAL AND STATE INCOME TAX. SINCE ALL OF THE ORGANIZATION'S INCOME IS RELATED TO ITS EXEMPT PURPOSE, NO PROVISION FOR INCOME TAXES HAS BEEN MADE IN THE ACCOMPANYING FINANCIAL STATEMENTS. THE ORGANIZATION HAS NO UNRECOGNIZED TAX BENEFITS OR UNCERTAIN TAX POSITIONS AS OF DECEMBER 31, 2017.

[illegible]

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2017**

Open to Public  
Inspection

Name of the organization

IDENTITY2020 SYSTEMS INC.

Employer identification number

81-1089667

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

COORDINATING FUNDING FOR IDENTITY AND CHANNELING THOSE FUNDS TOWARDS

HIGH-IMPACT PROJECTS, THIS ALLIANCE MODEL ENABLES DIVERSE STAKEHOLDERS

- UN AGENCIES, NGOS, GOVERNMENTS, AND ENTERPRISES - TO PURSUE A

COORDINATED APPROACH AND CREATES A PATHWAY FOR EFFICIENT IMPLEMENTATION

AT SCALE.

A WORKSHOP JOINTLY CONVENED BY ID2020 AND UNHCR, THE UN REFUGEE AGENCY,

IN NOVEMBER 2017 BROUGHT TOGETHER REPRESENTATIVES FROM GOVERNMENT,

PUBLIC, AND PRIVATE SECTORS AT THE WORLD FOOD PROGRAMME INNOVATOR

ACCELERATOR IN MUNICH. THE MULTI-STAKEHOLDER GROUP IDENTIFIED

POTENTIAL DIGITAL IDENTITY PILOT PROGRAMS TO EMPOWER AND PROTECT

REFUGEES, FORCIBLY DISPLACED, AND STATELESS PERSONS. THIS WORKSHOP WAS

THE FIRST STEP TOWARDS IMPLEMENTATION OF ID2020 ALLIANCE-SUPPORTED

PILOTS. MOVING FORWARD, ID2020 WILL SUPPORT THROUGH GRANTS, EDUCATION,

AND STAFF ASSISTANCE THE IMPLEMENTING AGENCIES AND COUNTRIES IN THEIR

ROLL-OUTS OF DIGITAL IDENTITY PILOT PROJECTS. THESE PROJECTS WILL

ASSESS THE COST, HUMAN RESOURCE REQUIREMENTS, OPPORTUNITIES, AND

PITFALLS ASSOCIATED WITH VARIOUS PATHWAYS FOR ENROLLMENT AND

PARTICIPATION.

ON THE TECHNOLOGY SIDE, ID2020 IS LEADING AN EFFORT TO DEVELOP

TECHNICAL STANDARDS REQUIRED FOR BACK-END INTEROPERABILITY OF

DECENTRALIZED, USER-MANAGED DIGITAL IDENTITY. WIDESPREAD ADOPTION OF

SUCH STANDARDS IS NECESSARY FOR INDIVIDUALS TO BE ABLE TO PROVE THEIR

IDENTITY ACROSS INSTITUTIONS AND BORDERS.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2017)

732211 09-07-17



Name of the organization

IDENTITY2020 SYSTEMS INC.

Employer identification number

81-1089667

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 IS PREPARED BY AN OUTSIDE ACCOUNTING FIRM. UPON COMPLETION, THE EXECUTIVE DIRECTOR AND AUDIT & FINANCE COMMITTEE OF THE BOARD REVIEWS THE FORM 990. THEREAFTER, THE FINALIZED FORM 990 IS DISTRIBUTED TO ALL MEMBERS OF THE BOARD PRIOR TO FILING WITH THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

UNDER THE CONFLICT OF INTEREST POLICY, DIRECTORS, OFFICERS AND KEY EMPLOYEES HAVE A DUTY TO DISCLOSE ANY POTENTIAL CONFLICT OF INTEREST TO THE ID2020 BOARD, WHICH WILL REVIEW THE POSSIBLE CONFLICT AND VOTE ON WHETHER THERE IS, INDEED, AN ACTUAL CONFLICT OF INTEREST. IF THE BOARD DETERMINES THAT THERE IS A CONFLICT OF INTEREST, THE INDIVIDUAL WILL RECUSE HIM/HERSELF FROM ANY DISCUSSIONS OR VOTE RELATING TO THE TRANSACTION OR ARRANGEMENT. IF THE CONFLICT OF INTEREST POLICY IS VIOLATED, THE BOARD IS RESPONSIBLE FOR TAKING APPROPRIATE CORRECTIVE ACTIONS.

FORM 990, PART VI, SECTION B, LINE 15A:

COMPENSATION FOR THE EXECUTIVE DIRECTOR IS SET BY THE BOARD OF DIRECTORS. TO ENSURE THAT ID2020 CAN RECRUIT TALENT, COMPENSATION FOR THE EXECUTIVE DIRECTOR IS EXTENSIVELY BENCHMARKED AGAINST A RANGE OF PUBLIC AND PRIVATE ANALOGUES, INCLUDING GAVI, THE UNITED NATIONS, THE WORLD ECONOMIC FORUM, GIVEWELL, MICROSOFT, AND PRIVATE-SECTOR STARTUPS.

THE ORGANIZATION DOES NOT COMPENSATE ANY ADDITIONAL OFFICERS OR KEY EMPLOYEES; AS SUCH, FORM 990, SECTION B, LINE 15B HAS BEEN MARKED "NO", AS MANDATED BY THE FORM 990 INSTRUCTIONS.

Name of the organization

IDENTITY2020 SYSTEMS INC.

Employer identification number

81-1089667

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND  
FINANCIAL STATEMENTS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST.

**Application for Automatic Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at** [www.irs.gov/form8868](http://www.irs.gov/form8868) .

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  <b>IDENTITY2020 SYSTEMS INC.</b>	Employer identification number (EIN) or  <b>81-1089667</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>611 BROADWAY, NO. 613B</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>NEW YORK, NY 10012</b>	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**DAKOTA GRUENER**

- The books are in the care of ► **611 BROADWAY, SUITE 613B - NEW YORK, NY 10012**

Telephone No. ► **510-289-3261**

Fax No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐ .
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until **NOVEMBER 15, 2018**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☒ calendar year **2017** or► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>0.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>0.</b>
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>0.</b>

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev. 1-2017)

**MAIL TO: DEPARTMENT OF THE TREASURY  
INTERNAL REVENUE SERVICE CENTER  
OGDEN, UT 84201-0045**